

The edge-density for $K_{2,t}$ minors

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Abstract

Let H be a graph. If G is an n -vertex simple graph that does not contain H as a minor, what is the maximum number of edges that G can have? This is at most linear in n , but the exact expression is known only for very few graphs H . For instance, when H is a complete graph K_t , the “natural” conjecture, $(t-2)n - \frac{1}{2}(t-1)(t-2)$, is true only for $t \leq 7$ and wildly false for large t , and this has rather dampened research in the area. Here we study the maximum number of edges when H is the complete bipartite graph $K_{2,t}$. We show that in this case, the analogous “natural” conjecture, $\frac{1}{2}(t+1)(n-1)$, is (for all $t \geq 2$) the truth for infinitely many n .

1 Introduction

Graphs in this paper are assumed to be finite and without loops or parallel edges. A graph H is a *minor* of a graph G if a graph isomorphic to H can be obtained from a subgraph of G by contracting edges.

Mader [5] proved that for every graph H there is a constant C_H such that every graph G not containing H as a minor satisfies $|E(G)| \leq C_H|V(G)|$, but determining the best possible constant C_H for a given graph H is a question that has been answered for very few graphs H .

A particular case that *has* been intensively studied is when H is a complete graph K_t . One natural way to make a large dense graph with no K_t minor is to take a complete graph of size $t - 2$, and add $n - t + 2$ more vertices each adjacent to all vertices in the complete graph. This produces an n -vertex graph with no K_t minor and with $(t - 2)n - \frac{1}{2}(t - 1)(t - 2)$ edges, and Mader [6] showed that for all $t \leq 7$ and $n \geq t - 2$, this is the maximum possible number of edges in an n -vertex graph with no K_t minor. It would be nice if this were true for all t , but Mader also showed that for $t \geq 8$ this is *not* the correct expression, and Kostochka [2, 3] and Thomason [12, 13] showed that for large t and n the maximum number of edges is $O(t(\log t)^{\frac{1}{2}}n)$.

This is disappointing, at least to those with faith in Hadwiger's conjecture. But what about when H is a complete bipartite graph $K_{s,t}$ say? When $s \leq 1$ the problem is very easy, but for $K_{2,t}$ it was open (for $t < 10^{29}$), and is the subject of this paper.

Here is a graph with no $K_{2,t}$ minor (for $t \geq 2$): take a graph each component of which is a t -vertex complete graph, and add one more vertex adjacent to all the previous vertices. This graph has $\frac{1}{2}(t + 1)(n - 1)$ edges, where n is the number of vertices, and exists whenever t divides $n - 1$. We shall show that this is extremal. The following is our main theorem, proved in sections 2–6.

1.1 *Let $t \geq 2$, and let G be a graph with $n > 0$ vertices and with no $K_{2,t}$ minor. Then*

$$|E(G)| \leq \frac{1}{2}(t + 1)(n - 1).$$

This answers affirmatively a conjecture of Myers [7], who proved 1.1 for all $t \geq 10^{29}$.

As we saw, this is best possible when $n - 1$ is a multiple of t , but for other values of n it may not be best possible, and as far as we know, it could be a long way from best possible. For instance, if $n = \frac{3}{2}t$, 1.1 gives an upper bound of about $\frac{1}{2}tn$, but the best lower bound we know is about $\frac{5}{12}tn$.

What if we exclude $K_{1,t}$ instead of $K_{2,t}$? It is easy to see that every n -vertex graph with more than $\frac{1}{2}(t - 1)n$ edges contains $K_{1,t}$ as a minor (indeed, as a subgraph), and if t divides n then there is an n -vertex graph with exactly $\frac{1}{2}(t - 1)n$ edges with no $K_{1,t}$ minor (the disjoint union of n/t copies of K_t). Thus this question is trivial. Curiously, however, the answer is quite different if we restrict ourselves to connected graphs. The following is shown in [1]:

1.2 Let $t \geq 3$ and $n \geq t + 2$ be integers. If G is an n -vertex connected graph with no $K_{1,t}$ minor, then

$$|E(G)| \leq n + \frac{1}{2}t(t - 3),$$

and for all n, t this is best possible.

We should therefore anticipate some analogous change in the conclusion of 1.1 if we add an appropriate connectivity hypothesis; and versions of 1.1 for higher connectivity are presented in section 8. Assuming G is connected makes no difference (because the extremal example given above is connected anyway); but it turns out that assuming G is 2-connected saves roughly a factor of two, and assuming it is 3-connected makes the bound qualitatively different. To prove the 2-connected result, we need to prove a version of 1.1 when we exclude $K_{2,t}$ as a “rooted” minor, and this is the content of section 7.

More generally, what is the maximum number of edges in graphs with no $K_{s,t}$ minor when $s \geq 1$? If we take a graph each component of which is a clique of size t , and add $s - 1$ more vertices each adjacent to all others, then the resulting n -vertex graph has no $K_{s,t}$ minor, and has

$$(t + 2s + 3)(n - s + 1)/2 + (s - 1)(s - 2)/2$$

edges; is this the maximum? When $s = 3$, Kostochka and Prince have a proof of this for all sufficiently large t (see [9]), and it is open for $s = 4, 5$, but for $s \geq 6$ Kostochka and Prince have counterexamples [9]. Indeed, Kostochka and Prince [4] proved the following:

1.3 Let s, t be positive integers with $t \gg s$. Then every graph with average degree at least $t + 3s$ has a $K_{s,t}$ minor, and there are graphs with average degree at least $t + 3s - 5\sqrt{s}$ that do not have a $K_{s,t}$ minor.

2 The main proof

This and the next four sections are devoted to the proof of 1.1. Let us fix $t \geq 2$ (we can find no advantage in proceeding by induction on t), and suppose the theorem is false for that value of t . Consequently there is a minimal counterexample, that is, a graph G with the following properties:

- G has no $K_{2,t}$ minor
- $|E(G)| > \frac{1}{2}(t + 1)(|V(G)| - 1)$
- $|E(G')| \leq \frac{1}{2}(t + 1)(|V(G')| - 1)$ for every graph G' with no $K_{2,t}$ minor and $|V(G')| < |V(G)|$.

We call such a graph G *critical*, and refer to the properties above as the *criticality* of G . Throughout this and the next four sections, let G be a critical graph and let $n = |V(G)|$. Since $|E(G)| > \frac{1}{2}(t + 1)(n - 1)$, it follows that $n \geq t + 2$.

If G is a graph and $X \subseteq V(G)$, $G|X$ denotes the subgraph of G induced on X , and we say X is *connected* if $G|X$ is connected. In this section we prove some preliminary lemmas about critical graphs. In particular, we prove that if G is a critical graph then G is 2-connected, and every edge of G is in at least $\frac{1}{2}t$ triangles, and every two nonadjacent vertices have at least three common neighbours. In order to prove this last statement we first have to show that $t \geq 5$. We begin with:

2.1 G is 2-connected.

Proof. For suppose not. Since $n \geq t + 2 \geq 3$, there is a partition of $V(G)$ into three nonempty sets $V_1, V_2, \{v\}$ for some vertex v , such that there is no edge between V_1 and V_2 . For $i = 1, 2$ let $G_i = G|(V_i \cup \{v\})$; let $|V(G_i)| = n_i$ and $|E(G_i)| = e_i$. From the criticality of G , $e_i \leq \frac{1}{2}(t + 1)(n_i - 1)$ for $i = 1, 2$, so, adding, we obtain

$$e_1 + e_2 \leq \frac{1}{2}(t + 1)(n_1 + n_2 - 2).$$

But $|E(G)| = e_1 + e_2$ and $n = n_1 + n_2 - 1$, contrary to the criticality of G . This proves 2.1. ■

If $x, y \in V(G)$ are distinct, an *xy-join* is a vertex z different from x, y and adjacent to both x, y . Let $X(xy)$ denote the set of all *xy*-joins.

2.2 For every edge xy of G there are at least $\frac{1}{2}t$ *xy*-joins, and consequently every vertex has degree at least $\frac{1}{2}t + 1$.

Proof. Let xy be an edge. Let G' be obtained from G by deleting all edges between x and $X(xy)$, and then contracting the edge xy . (Note that this contraction does not create any parallel edges, and so G' is indeed a “graph” as defined in this paper.) Then $|E(G')| = |E(G)| - |X(xy)| - 1$, and $|V(G')| = n - 1$, and by the criticality of G ,

$$|E(G')| \leq \frac{1}{2}(t + 1)(|V(G')| - 1).$$

Consequently

$$|E(G)| - |X(xy)| - 1 \leq \frac{1}{2}(t + 1)(n - 2),$$

and since

$$|E(G)| > \frac{1}{2}(t + 1)(n - 1)$$

by the criticality of G , it follows that $|X(xy)| \geq \frac{1}{2}t$. This proves the first assertion of 2.2, and the second follows immediately since every vertex is incident with some edge by 2.1. ■

2.3 Let A_1, A_2 be disjoint connected subsets of $V(G)$, such that there is no edge between A_1 and A_2 . Let C be the set of all vertices with a neighbour in A_1 and a neighbour in A_2 . Then every two nonadjacent vertices in C have a common neighbour in C (and at least two common neighbours in C if t is odd). Consequently if C is nonempty then it is connected.

Proof. Let $c_1, c_2 \in C$ be nonadjacent; we claim they have a common neighbour in C , and at least two if t is odd. For $i = 1, 2$, there is a path between c_1, c_2 with interior in A_i , since A_i is connected and c_1, c_2 have neighbours in A_i . Choose such a path, P_i say, of minimal length; then it is induced. Let p_i be the neighbour of c_i in P_i , for $i = 1, 2$. No c_1p_1 -join belongs to P_1 , since P_1 is induced, and none is in P_2 since $p_1 \in A_1$ and all internal vertices of P_2 are in A_2 and there is no edge between A_1 and A_2 . Similarly no c_2p_2 -join is in P_1 or P_2 . Suppose that $|X(c_1p_1) \cup X(c_2p_2)| \geq t$; then by contracting all edges of P_1 except c_1p_1 , and all edges of P_2 except c_2p_2 , we obtain a $K_{2,t}$ minor, a contradiction. Thus $|X(c_1p_1) \cup X(c_2p_2)| \leq t - 1$. On the other hand, by 2.2, $|X(c_i p_i)| \geq d$, for $i = 1, 2$, where d is the least integer satisfying $d \geq \frac{1}{2}t$. Hence $|X(c_1p_1) \cap X(c_2p_2)| \geq 2d - t + 1$. But every vertex in $X(c_1p_1) \cap X(c_2p_2)$ has neighbours in both A_1 and A_2 , and therefore belongs to C , and is a common neighbour of c_1, c_2 in C . This proves 2.3. ■

A related result is:

2.4 Let A_1, A_2 be disjoint connected subsets of $V(G)$ with union $V(G)$, and let C be the set of all vertices in A_2 with a neighbour in A_1 . Then C is connected.

Proof. Suppose not; then there is a partition of C into two nonempty subsets X_1, X_2 , such that there is no edge between X_1 and X_2 . Since A_2 is connected, there is a path of $G|_{A_2}$ with one end in X_1 and the other in X_2 . Choose such a path, P_2 say, with minimum length. Let its ends be $c_i \in X_i$ for $i = 1, 2$. Since c_1, c_2 both have neighbours in A_1 , there is a minimal path P_1 between c_1, c_2 with interior in A_1 . For $i = 1, 2$, let p_i be the neighbour of c_i in P_i . By 2.2, $|X(c_i p_i)| \geq t/2$ for $i = 1, 2$, and no $c_i p_i$ -join belongs to P_1 or to P_2 , and if $|X(c_1 p_1) \cap X(c_2 p_2)| = \emptyset$ then we find a $K_{2,t}$ minor. Thus some vertex $v \in X(c_1 p_1) \cap X(c_2 p_2)$. Since p_2 does not belong to C , it follows that p_2 has no neighbour in A_1 and so $v \notin A_1$. Consequently $v \in A_2$, since $A_1 \cup A_2 = V(G)$; and v is adjacent to $p_1 \in A_1$, and so $v \in C$; yet v has neighbours in both X_1, X_2 , which is impossible. This proves 2.4. ■

It follows from 2.4 that for every vertex v , the set of neighbours of v is connected (taking $A_1 = \{v\}$ and $A_2 = V(G) \setminus \{v\}$; the latter is connected by 2.1). A *cut* of G is a partition (A_1, A_2, C) of $V(G)$ such that A_1, A_2 are nonempty, and there is no edge between A_1 and A_2 ; and if $|C| = k$ we call it a k -*cut*. If $X \subseteq V(G)$, by a *component* of X we mean the vertex set of a component of $G|_X$.

2.5 $t \geq 5$.

Proof. We have seen that $n \geq t + 2 \geq 4$, and G is 2-connected, and consequently G has a cycle of length at least four. Since G has no $K_{2,t}$ minor it follows that $t > 2$. Suppose that $t = 3$. Thus G has more than $2n - 2 \geq 3n/2$ edges, and so there is a vertex v of degree at least 4; let N be its set of neighbours. By 2.2, every vertex in N has at least two neighbours in N , since $t > 2$. If some vertex $u \in N$ has three neighbours in N , then u, v have three common neighbours and therefore G has a $K_{2,t}$ subgraph; so we may assume every vertex in N has exactly two neighbours in N . But N is connected by 2.4, and so $G|N$ is a cycle of length at least four. Since v is adjacent to every vertex of this cycle, it follows again that G has a $K_{2,t}$ minor. This proves that $t \geq 4$; suppose that $t = 4$.

(1) G is 3-connected.

For suppose not; then there is a 2-cut (A_1, A_2, C) of $V(G)$. For $j = 1, 2$, we say that (A_j, C) is *small* if there do not exist two disjoint connected subsets X_1, X_2 of $A_j \cup C$ with $X_i \cap C$ nonempty for $i = 1, 2$, such that at least two vertices of $A_j \setminus (X_1 \cup X_2)$ have neighbours in both X_1, X_2 . If neither of $(A_1, C), (A_2, C)$ is small, then G has a $K_{2,t}$ minor, a contradiction; so we may choose the partition (A_1, A_2, C) such that (A_1, C) is small, and subject to that with A_1 minimal. Let $C = \{c_1, c_2\}$ say. By 2.3, c_1, c_2 are adjacent. Let H be the graph obtained from $G|(A_1 \cup C)$ by deleting the edge c_1, c_2 . Since G is 2-connected, there is a path P of H between c_1, c_2 , but since (A_1, C) is small, there do not exist two paths of H between c_1, c_2 that are disjoint except for their ends; so by Menger's theorem, there is a partition $B_1, B_2, \{b\}$ of $A_1 \cup C$ such that $b \in A_1$, and $c_i \in B_i$ for $i = 1, 2$, and c_1c_2 is the only edge of G between B_1, B_2 , and $b \in V(P)$. Since b has degree at least three by 2.2, it follows that at least one of B_1, B_2 has more than one member, say B_1 . Consequently the partition

$$(B_1 \setminus \{c_1\}, A_2 \cup B_2, \{b, c_1\})$$

is a 2-cut, so by the minimality of A_1 , $(B_1 \setminus \{c_1\}, \{b, c_1\})$ is not small. Hence there exist disjoint connected subsets X_1, X_2 of $B_1 \cup \{b\}$ with $c_1 \in X_1$ and $b \in X_2$, such that at least two vertices of $B_1 \setminus (X_1 \cup X_2)$ have neighbours in both X_1 and X_2 . Then $X_2 \cup B_2$ is connected, and the pair $X_1, X_2 \cup B_2$ contradicts that (A_1, C) is small. This proves (1).

(2) For every 3-cut (A_1, A_2, C) , C is a clique.

For suppose that $C = \{c_1, c_2, c_3\}$ and c_1, c_2 are nonadjacent. From 2.3, c_3 is adjacent to both c_1, c_2 . Let H be the graph obtained from G by deleting the edge c_1c_3 and contracting the edge c_2c_3 (and removing any parallel edges this creates). Let c be the vertex formed by contracting c_2c_3 . In H there do not exist four paths between c_1, c , disjoint except for their ends, since G has no $K_{2,t}$ minor. Hence there is a partition B_1, B_2, D of $V(G)$, such that $c_1 \in B_1, c_2, c_3 \in B_2, c_1c_3$ is the only edge of G between B_1 and B_2 , and $|D| \leq 3$. Since $D \subseteq A_1 \cup A_2$, we may assume from the symmetry that $|D \cap A_1| \leq 1$. Now there is a component of A_1 , and since G is 3-connected, each of c_1, c_2, c_3 has a neighbour in this component. Choose a minimal path P between c_1, c_2 with interior in A_1 . Then some vertex of P is in D ; and so $D \cap A_1 = \{d\}$

say, where d is a vertex of P . If $A_1 \cap B_1$ is nonempty, then $(A_1 \cap B_1, A_2 \cup B_2, \{c_1, d\})$ is a 2-cut, contradicting that G is 3-connected. Thus $A_1 \cap B_1 = \emptyset$, and so c_1 is adjacent to d . But there is at most one c_1d -join, contrary to 2.2. This proves (2).

(3) G is 4-connected.

For suppose that there is a 3-cut (A_1, A_2, C) . By (2), C is a clique; let $C = \{c_1, c_2, c_3\}$. For $i = 1, 2$, since c_1, c_2 both have neighbours in each component of $G|_{A_i}$, there is a path P_i (not induced), between c_1 and c_2 , of length at least two, with interior in A_i . Since G contains no $K_{2,4}$ minor, there do not exist four paths in $G \setminus c_1c_2$ between c_1 and c_2 , disjoint except for their ends; so there is a partition (B_1, B_2, D) of $V(G)$ with $c_1 \in B_1$ and $c_2 \in B_2$, such that c_1c_2 is the only edge between B_1 and B_2 , and $|D| \leq 3$. It follows that $c_3 \in D$, and $|A_i \cap D| = 1$ for $i = 1, 2$; let $A_i \cap D = \{d_i\}$ for $i = 1, 2$. Thus $d_i \in V(P_i)$ for $i = 1, 2$. We claim that d_1 is adjacent to all of c_1, c_2, c_3 . For if $A_1 = \{d_1\}$ then the claim holds since d_1 has degree at least three from 2.2. So we may assume that $|A_1| > 1$, and so from the symmetry we may assume that $A_1 \cap B_1$ is nonempty. Consequently

$$(A_1 \cap B_1, A_2 \cup B_2, \{c_1, c_3, d_1\})$$

is a 3-cut, and so d_1 is adjacent to both c_1, c_3 by (2). If also $A_1 \cap B_2$ is nonempty then similarly d_1 is adjacent to c_2 as claimed, so we may assume that $A_1 \cap B_2 = \emptyset$. Consequently the neighbour of c_2 in P_1 does not belong to B_2 , and so this vertex is d_1 , and consequently d_1, c_2 are adjacent. This proves our claim that d_1 is adjacent to all of c_1, c_2, c_3 . Similarly d_2 is adjacent to c_1, c_2, c_3 .

Since $n \geq t + 2 = 6$, there is a vertex not in $C \cup D$, and so we may assume that $A_1 \cap B_1$ is nonempty, from the symmetry. Hence

$$(A_1 \cap B_1, A_2 \cup B_2, \{c_1, c_3, d_1\})$$

is a 3-cut, and there is a path Q between c_1 and c_3 (not induced) of length at least two, with interior in $A_1 \cap B_1$. But then there are four paths between c_1 and c_3 , namely Q and the three paths with interiors d_1, d_2, c_2 , each of length at least two and with disjoint interiors, and so G has a $K_{2,4}$ minor, a contradiction. This proves (3).

Choose any two nonadjacent vertices; then (3) implies that there are four paths joining them with disjoint interiors, and so G has a $K_{2,4}$ minor, a contradiction. This proves 2.5. \blacksquare

2.6 For every two nonadjacent vertices x, x' there are at least three x, x' -joins.

Proof. Suppose there are at most two. Since G is 2-connected, there are two induced paths P, Q between x, x' , vertex-disjoint except for their ends; and since there are at most two x, x' -joins, we may choose P, Q such that every x, x' -join is a vertex of one of P, Q . Let p, q be the

neighbours of x in P, Q respectively, and define p', q' similarly for x' . Let N be the set of all neighbours of x , and define N' similarly. Let $d = \lceil \frac{1}{2}t \rceil$.

Let us suppose that:

(1) *There do not exist disjoint connected subsets A, B, C_1, \dots, C_d of $N \cup \{x\}$ with the following properties:*

- *for $1 \leq i \leq d$ there is an edge of G between C_i and A , and an edge of G between C_i and B*
- *$p \in A$ and $q \in B$.*

We shall derive several consequences of this, and eventually reach a contradiction.

Let H be the subgraph $G|N$. Every vertex of H has degree at least d in H , since for each $v \in V(H)$, there are at least d xv -joins in G , by 2.2. If p has d neighbours in H different from q , we may set $A = \{p\}$, $B = \{q, x\}$, and let C_1, \dots, C_d each consist of some neighbour of p different from q , contrary to (1). So p has degree exactly d in H , and p, q are adjacent; let the other neighbours of p be v_1, \dots, v_{d-1} say. If q is adjacent in H to each of v_1, \dots, v_{d-1} , we may set $A = \{p\}$, $B = \{q\}$, $C_i = \{v_i\}$ for $1 \leq i \leq d-1$ and $C_d = \{x\}$, contrary to (1). Thus we may assume that q is not adjacent to v_{d-1} . Let $Y = N \setminus \{p, q, v_1, \dots, v_{d-1}\}$.

(2) *If $r_1 \cdots r_k$ is a path R of H with $r_1 \in \{v_1, \dots, v_{d-1}\}$ and $r_2, \dots, r_k \in Y$, then r_k has at most one neighbour in Y different from r_2, \dots, r_{k-1} .*

For suppose it has two, say y_1, y_2 . Let $r_1 = v_j$ say. Then we may set $A = \{p\} \cup V(R)$, $B = \{q, x\}$, $C_i = \{v_i\}$ for $1 \leq i \leq d-1$ with $i \neq j$, $C_j = \{y_1\}$, and $C_d = \{y_2\}$, contrary to (1). This proves (2).

By taking $k = 1$ and $r_1 = v_{d-1}$ we deduce that v_{d-1} has at most one neighbour in H different from all of p, v_1, \dots, v_{d-2} . But v_{d-1} has degree at least d in H , and so v_{d-1} is adjacent to all of p, v_1, \dots, v_{d-2} , and has exactly one more neighbour in H , say v_d .

By taking $k = 2$, $r_1 = v_{d-1}$ and $r_2 = v_d$, we deduce from (2) that v_d has at most one neighbour in Y . Suppose that v_d is not adjacent to q in H . Since v_d has degree at least d in H , v_d is adjacent to all of v_1, \dots, v_{d-1} and it has exactly one other neighbour in H , say v_{d+1} . By (2) with $k = 3$ and $r_1 = v_{d-1}, r_2 = v_d$ and $r_3 = v_{d+1}$, we deduce that v_{d+1} has at most one neighbour in Y different from v_d . But each of v_1, \dots, v_{d-1} has at most one neighbour in Y , and they are adjacent to $v_d \in Y$, as we already saw, so v_{d+1} has at most two neighbours in H different from q . Since v_{d+1} has at least $d \geq 3$ neighbours in H , we deduce that q, v_{d+1} are adjacent. But then we may set $A = \{p\}$, $B = \{q, v_{d+1}, v_d\}$, $C_i = \{v_i\}$ for $1 \leq i \leq d-1$, and $C_d = \{x\}$, contrary to (1). This proves that v_d is adjacent to q .

If v_d is adjacent to all of v_1, \dots, v_{d-1} , we may set $A = \{p\}$, $B = \{q, v_d\}$, $C_i = \{v_i\}$ for $1 \leq i \leq d-1$ and $C_d = \{x\}$, contrary to (1). So we may assume that v_d is nonadjacent to v_1 say. We already saw that v_d has at most one neighbour in Y ; and since it has degree at

least d in H , v_d is adjacent to v_2, \dots, v_{d-1}, q and to one new vertex. If q is adjacent to v_1 , we may set $A = \{p\}$, $B = \{q, v_d\}$, $C_i = \{v_i\}$ for $1 \leq i \leq d-1$, and $C_d = \{x\}$, contrary to (1). Thus q is nonadjacent to v_1 . By the same argument (with v_1, v_{d-1} exchanged) we deduce that v_1 has a unique neighbour (say v_{d+1}) in Y , and is adjacent to all of v_2, \dots, v_{d-1} , and v_{d+1} is adjacent to all except one of v_2, \dots, v_{d-1} . Now $v_{d+1} \neq v_d$ since v_d is nonadjacent to v_1 , and at least $d-3$ of v_1, \dots, v_{d-1} are adjacent to both v_d, v_{d+1} . Since v_1, \dots, v_{d-1} each have at most one neighbour in Y , we deduce that $d = 3$. But then we may set $A = \{p\}$, $B = \{q, v_3, v_4\}$, $C_1 = \{v_1\}$, $C_2 = \{v_2\}$ and $C_3 = \{x\}$. This proves that our assumption of (1) was false.

Consequently there exist disjoint connected subsets A, B, C_1, \dots, C_d of $N \cup \{x\}$ with the following properties:

- for $1 \leq i \leq d$ there is an edge of G between C_i and A , and an edge of G between C_i and B
- $p \in A$ and $q \in B$.

Similarly, if N' denotes the set of neighbours of x' , and p', q' are the neighbours of x' in P, Q respectively, there exist disjoint connected subsets $A', B', C'_1, \dots, C'_d$ of $N' \cup \{x'\}$ with the following properties:

- for $1 \leq i \leq d$ there is an edge of G between C'_i and A' , and an edge of G between C'_i and B'
- $p' \in A'$ and $q' \in B'$.

But then contracting all edges with both ends in one of

$$A \cup V(P) \cup A', B \cup V(Q) \cup B', C_1, \dots, C_d, C'_1, \dots, C'_d$$

gives a $K_{2,t}$ minor, a contradiction. This proves 2.6. ■

3 Vertices of large degree

In this section we prove some results about vertices of degree at least $t+1$, and particularly about vertices with degree close to n . We denote the complement graph of G by \overline{G} . First we need:

3.1 $n \geq t+4$.

Proof. We are given that $t \geq 2$, and since $|E(G)| > \frac{1}{2}(t+1)(n-1)$ it follows that $t+1 < n$. Suppose that $n = t+2$. Then the complement \overline{G} has fewer than

$$\frac{1}{2}n(n-1) - \frac{1}{2}(n-1)^2 = \frac{1}{2}(n-1)$$

edges, and so some two vertices have degree 0 in \overline{G} ; so in G these two vertices are both adjacent to all others, and G has a $K_{2,t}$ subgraph, a contradiction.

Now suppose that $n = t + 3$. Then \overline{G} has fewer than

$$\frac{1}{2}n(n-1) - \frac{1}{2}(n-2)(n-1) = n-1$$

edges, and so at most $n-2$. Thus there are two vertices of \overline{G} both with degree at most one. If some vertex has degree zero in \overline{G} , choose another with degree at most one; then in G they have at least t common neighbours and so G has a $K_{2,t}$ subgraph, a contradiction. So every vertex has degree at least one in \overline{G} . Let v_1, \dots, v_k be those with degree one, and u_1, \dots, u_k their respective neighbours. Thus $k \geq 2$. If $u_1 = u_2$ or $u_1 = v_2$, then in G , v_1, v_2 have t common neighbours, a contradiction. Consequently $u_1, \dots, u_k, v_1, \dots, v_k$ are all distinct. If u_1 has only two neighbours in \overline{G} , say v_1, w_1 , then u_1, v_1 have t common neighbours in G ; so each u_i has degree at least three in \overline{G} . Hence the sum of the degrees of all vertices in \overline{G} is at least $2n$, a contradiction. This proves 3.1. ■

3.2 *If x_1, x_2 are nonadjacent vertices then $\deg(x_1) + \deg(x_2) \leq n + t - 4$, while if x_1, x_2 are adjacent then $\deg(x_1) + \deg(x_2) \leq n + t - 2$.*

Proof. Let G_0 be the graph obtained from G by deleting the edge x_1, x_2 if it exists (and $G_0 = G$ if not). For $i = 1, 2$ let d_i be the degree of x_i in G_0 . We need to show that $d_1 + d_2 \leq n + t - 4$. There do not exist t paths in G_0 between x_1, x_2 , disjoint except for their ends, because then G would contain a $K_{2,t}$ minor. Thus by Menger's theorem there is a partition of $V(G)$ into three sets A_1, A_2, C with $x_1 \in A_1, x_2 \in A_2$, such that $|C| \leq t - 1$ and there are no edges between A_1 and A_2 . Now for $i = 1, 2$, $d_i \leq |A_i| + |C| - 1$, and so

$$d_1 + d_2 \leq |A_1| + |A_2| + 2|C| - 2 = n + |C| - 2 \leq n + t - 3.$$

We may therefore assume that equality holds, and so $|C| = t - 1$ and for $i = 1, 2$ x_i is adjacent to every other vertex in $A_i \cup C$. Since G is 2-connected and therefore $|C| \geq 2$, we deduce that $t \geq 3$.

By 3.1, $|A_1| + |A_2| \geq 5$ since $|C| \leq t - 1$, and so we may assume that $|A_1| \geq 3$. If some $c \in C$ is adjacent to two members a, a' of $A_1 \setminus \{x_1\}$, then contracting the edge x_2c gives a $K_{2,t}$ minor, a contradiction. Thus each vertex in C has at most one neighbour in $A_1 \setminus \{x_1\}$. Suppose that $A_1 \setminus \{x_1\}$ is stable. Choose distinct $a, a' \in A_1 \setminus \{x_1\}$; then $\deg(a) + \deg(a') \leq |C| + 2 = t + 1$, contrary to 2.2. Thus $A_1 \setminus \{x_1\}$ is not stable; let X be a component of $A_1 \setminus \{x_1\}$ that has more than one vertex. Since G is 2-connected, some vertex $a \in X$ has a neighbour in C (say c). Choose $a' \in X$ adjacent to a . By 2.2 there are at least $\frac{1}{2}t$ aa' -joins, and so at least two, since $t \geq 3$; let b be an aa' -join different from x_1 . Then $b \notin C$, and so $b \in A_1 \setminus \{x_1\}$. Then since both a', b are adjacent to both x_1, a , it follows that contracting the edges x_2c and ac gives a $K_{2,t}$ minor, a contradiction. This proves 3.2. ■

For each vertex $v \in V(G)$, let us define $\text{surplus}(v) = \deg(v) - t$, and for a subset $X \subseteq V(G)$, $\text{surplus}(X)$ denotes the sum of $\text{surplus}(v)$ over all $v \in X$.

3.3 $\text{surplus}(V(G)) \geq n - t$, and at least three vertices have positive surplus.

Proof. By the criticality of G , $2|E(G)| \geq (t + 1)(n - 1) + 1$, and so $2|E(G)| - nt \geq n - t$. Consequently

$$\text{surplus}(V(G)) = \sum_{v \in V(G)} (\deg(v) - t) = 2|E(G)| - nt \geq n - t.$$

This proves the first assertion. For the second, note that 3.2 implies that for every two vertices x_1, x_2 , $\text{surplus}(x_1) + \text{surplus}(x_2) \leq n - t - 2$, and so at least three vertices have positive surplus. This proves 3.3. ■

3.4 For every vertex v of G there are at least two vertices nonadjacent to v .

Proof. Suppose there is at most one such vertex, and so $|A| \geq n - 2$, where A is the set of neighbours of v . By 3.3 there are at least three vertices with degree at least $t + 1$, so at least one of them is in A , say u . Thus u has at least $t - 1$ neighbours in A . Now u, v have at most $t - 1$ common neighbours, since G has no $K_{2,t}$ subgraph; and so $|N| = t - 1$, where N is the set of neighbours of u in A . By 3.1, $n \geq t + 4$, and so $|A| \geq t + 2$. Let $M = A \setminus (N \cup \{u\})$. Now $|M| \geq 2$; choose $m_1, m_2 \in M$, distinct. By 2.6 and by 2.2, there are at least three $m_1 m_2$ -joins, so at least one is in $A \setminus \{u\}$. If $w \in N$ is an $m_1 m_2$ -join, then contracting the edge uw gives a $K_{2,t}$ minor. Thus some $m_3 \in M$ is an $m_1 m_2$ -join. By 2.6, there exists $x \in N$ adjacent to m_3 . But then contracting the edges ux, xm_3 gives a $K_{2,t}$ minor. This proves 3.4. ■

3.5 G is 5-connected.

Proof. Let (A_1, A_2, C) be a cut of G , chosen with $|C|$ minimum. Suppose that $|C| \leq 4$. For each $a_1 \in A_1$ and $a_2 \in A_2$, since a_1, a_2 have three common neighbours by 2.6, it follows that they both have at least three neighbours in C . Thus every vertex in $V(G) \setminus C$ has at least three neighbours in C . Choose $c, c' \in C$; then since $|V(G) \setminus C| \geq n - 4 \geq t$ by 3.1, some vertex in $V(G) \setminus C$ is not adjacent to one of c, c' . Consequently $|C| = 4$.

Suppose that $C = \{c_1, c_2, c_3, c_4\}$ where $c_1 c_2$ and $c_3 c_4$ are edges. Every vertex in $V(G) \setminus C$ is adjacent to one of c_1, c_2 and to one of c_3, c_4 , and it follows that contracting the edges $c_1 c_2$ and $c_3 c_4$ gives a $K_{2,t}$ minor. Hence no two edges of $G|C$ are disjoint. But C is connected, by 2.3, and so we may assume that some vertex $c \in C$ is adjacent to every vertex in $C \setminus \{c\}$, and the other vertices in C are pairwise nonadjacent. By 3.4 there is a vertex nonadjacent to c , say $a_1 \in A_1$. Choose $a_2 \in A_2$; then $C \setminus \{c\}$ is the set of all $a_1 a_2$ -joins, and yet $C \setminus \{c\}$ is not connected, contrary to 2.3. Thus $|C| \geq 5$. This proves 3.5. ■

4 Neighbour sets of little subsets

If $W \subseteq V(G)$, we denote by $N(W)$ the set of all vertices of G not in W but with a neighbour in W , and $M(W)$ the set of vertices not in W with no neighbour in W . For a vertex v , we write $N(v), M(v)$ for $N(\{v\}), M(\{v\})$. In this section we give the central argument of the proof of 1.1; we show that either $t \leq 14$ or there is no edge w_1w_2 with $|N(\{w_1, w_2\})| \geq t + 4$. Then the remainder of the proof of 1.1 consists of handling the cases left open by this result.

Several of the steps to come depend on finding a small (at most four vertices) connected subset W , such that $|N(W)|$ is large (at least $t + 3$ and preferably larger), and trying to find a connected subset W' disjoint from W such that $N(W')$ has at least t vertices in common with $N(W)$ (for this would yield a $K_{2,t}$ minor). We begin with some lemmas. We denote by $\lambda(W)$ the minimum k such that for every nonempty subset $X \subseteq W$, some vertex in X has at most k neighbours in X . (This is sometimes called the *degeneracy* of $G|W$.)

4.1 *Let $W \subseteq V(G)$.*

- *If W is connected and $|W| \leq 4$ then $N(W)$ is connected.*
- *Every vertex in $N(W)$ has at least $\frac{1}{2}t - \lambda(W)$ neighbours in $N(W)$.*

Proof. To prove the first statement, suppose that W is connected and $|W| \leq 4$. By 3.5, $V(G) \setminus W$ is connected. But also W is connected, so $N(W)$ is connected by 2.4. For the second statement, let $v \in N(W)$. Let X be the set of neighbours of v in W . Since X is nonempty, some vertex $x \in X$ has at most $\lambda(W)$ neighbours in X . But there are at least $\frac{1}{2}t$ vx -joins by 2.2, and at most $\lambda(W)$ of them are in W , since x has at most $\lambda(W)$ neighbours in X . Thus all the others are in $N(W)$. This proves 4.1. ■

If $X \subseteq V(G)$ we say an edge is *within* X if it has both ends in X . Let us say a *grasp* is a pair (X, Y) of disjoint subsets of $V(G)$, such that X is nonempty and connected and every vertex in Y has a neighbour in X .

4.2 *Let $W \subseteq V(G)$ be connected with $|W| \leq 4$. Let (X, Y) be a grasp where $X \cap W = \emptyset$ and $Y \subseteq N(W)$. Let $Z = N(W) \setminus (X \cup Y)$.*

- *If $|W| \leq 2$ then $|Z| < 2(t - |Y|)$.*
- *Suppose that $t \geq 6\lambda(W)$ if t is odd, and $t \geq 6\lambda(W) + 3$ if t is even. If also $t \geq 2\lambda(W) + 9$, then $|Z| \leq 2(t - |Y|)$.*

Proof. With G, W fixed, we prove both claims simultaneously by induction on $|V(G)| - |X \cup Y|$. If some $z \in Z$ has a neighbour in X , then the result follows from the inductive hypothesis applied to the grasp $(X, Y \cup \{z\})$; while if some $v \in M(W) \setminus X$ has a neighbour in X , the result follows from the inductive hypothesis applied to the grasp $(X \cup \{v\}, Y)$. Thus we may assume that

(1) $N(X) \subseteq Y \cup W$.

We may also assume that

(2) *If $z_1, z_2 \in Z$ are distinct then every z_1z_2 -join belongs to $Z \cup W$.*

For suppose that u is a z_1z_2 -join that is not in $Z \cup W$. Thus either $u \in X \cup Y$, or $u \in M(W) \setminus X$. Certainly $u \notin X$ since $z_1 \notin N(X)$ by (1). If $u \in Y$, the result follows from the inductive hypothesis applied to the grasp

$$(X \cup \{u\}, (Y \setminus \{u\}) \cup \{z_1, z_2\}).$$

Thus $u \in M(W) \setminus X$, and so $u \notin N(X)$ by (1). Choose $x \in X$, and let y be a ux -join. Since $u \notin W \cup N(W)$, it follows that $y \notin W$, and so $y \in Y$ by (1). But then the result follows from the inductive hypothesis applied to the grasp

$$(X \cup \{y, u\}, (Y \setminus \{y\}) \cup \{z_1, z_2\}).$$

This proves (2).

(3) *Every vertex in Z has a neighbour in Y .*

For suppose first that $|W| \leq 2$, and let $x \in X$. For each $z \in Z$, there are at least three xz -joins by 2.6, and at least one, y say, is not in W . By (1) $y \in Y$, and so z has a neighbour in Y as claimed. Thus we may assume that $|W| \geq 3$, and so $t \geq 2\lambda(W) + 9$ by hypothesis.

Suppose that some vertex in Z has no neighbour in Y . Since $Y \neq \emptyset$ and $N(W)$ is connected by 4.1, there are distinct vertices $z_0, z_1 \in Z$ and $y \in Y$ such that z_0 has no neighbours in Y and z_1 is adjacent to both y, z_0 . By 4.1, z_0 has at least $\frac{1}{2}t - \lambda(W) \geq 9/2$ neighbours in $N(W)$. We may therefore choose four of them different from z_1 , say z_2, \dots, z_5 . But then the result follows from the inductive hypothesis applied to the grasp

$$(X \cup \{y, z_1, z_0\}, (Y \setminus \{y\}) \cup \{z_2, z_3, z_4, z_5\}).$$

This proves (3).

We may assume that

(4) *Every vertex in Z has at most two neighbours in Z .*

For suppose some $z_0 \in Z$ has three neighbours $z_1, z_2, z_3 \in Z$. By (3) there exists $y \in Y$ adjacent to z_0 ; and then the result follows from the inductive hypothesis applied to the grasp

$$(X \cup \{y, z_0\}, (Y \setminus \{y\}) \cup \{z_1, z_2, z_3\}).$$

This proves (4).

Now let us complete the proof of the first assertion of the theorem. Thus, suppose $|W| \leq 2$, and suppose for a contradiction that $|Z| \geq 2(t - |Y|)$. Since $|Y| < t$ (because otherwise contracting all edges within X and within W produces a $K_{2,t}$ minor), it follows that $|Z| \geq 2$. If $z_1, z_2 \in Z$ are distinct, 2.2 and 2.6 imply that there is a $z_1 z_2$ -join $u \notin W$, and therefore in Z by (2). It follows that every two vertices in Z have a common neighbour in Z . In particular, we may choose z_1, z_2 adjacent, and so there are three vertices in Z , pairwise adjacent, say z_1, z_2, z_3 . By (4), no other vertex in Z has a common neighbour with z_1 , and so $Z = \{z_1, z_2, z_3\}$. Since $|Z| \geq 2(t - |Y|)$, it follows that $|Y| = t - 1$. Choose $y \in Y$ adjacent to z_3 . Then contracting all edges within $X \cup \{y, z_3\}$ and W yields a $K_{2,t}$ minor, a contradiction. This completes the proof of the first assertion.

Now we prove the second assertion. We may assume that

$$(5) \quad |Y| \leq t - 2.$$

For certainly $|Y| \leq t - 1$; suppose that equality holds, and suppose for a contradiction that $|Z| \geq 3$. If some vertex $z_0 \in Z$ has two neighbours $z_1, z_2 \in Z$, choose $y \in Y$ adjacent to z_0 , and then contracting all edges within $X \cup \{y, z_0\}$ produces a $K_{2,t}$ minor, a contradiction. Thus every vertex in Z has at most one neighbour in Z . If $|Z| > 3$, choose some $z \in Z$ and $y \in Y$, adjacent, and then the result follows from the inductive hypothesis applied to the grasp

$$(X \cup \{y\}, (Y \setminus \{y\}) \cup \{z\}).$$

Thus we may assume that $|Z| = 3$. Consequently there is at most one edge within Z , and since every vertex in Z has at least $d - \lambda(W)$ neighbours in $N(W)$ from 4.1, there are at least $3(d - \lambda(W)) - 2$ edges between Y and Z , where $d = \lceil \frac{1}{2}t \rceil$. But there are at most $t - 1$ such edges, by (2), and so

$$3(d - \lambda(W)) - 2 \leq t - 1,$$

contrary to the hypotheses of the second assertion of the theorem. This proves (5).

Thus we may assume that $|Z| \geq 5$. By (4) and 4.1, every vertex in Z has at least $\frac{1}{2}t - \lambda(W) - 2$ neighbours in Y , and so $5(\frac{1}{2}t - \lambda(W) - 2) \leq t - 2$, that is, $3t \leq 10\lambda(W) + 16$. But by hypothesis, $t \geq 2\lambda(W) + 9$, and $t \geq 6\lambda(W)$, and so $3t \geq 2(2\lambda(W) + 9) + (6\lambda(W))$, a contradiction. This proves 4.2. ■

The proof of the next theorem is the central argument of the paper, disposing of “most” possibilities for a critical graph G .

4.3 *Either $t \leq 14$ or there is no edge $w_1 w_2$ such that $|N(\{w_1, w_2\})| \geq t + 4$.*

Proof. Suppose that $t \geq 15$ and $w_1 w_2$ is an edge satisfying $|N(\{w_1, w_2\})| \geq t + 4$. Let $A = N(\{w_1, w_2\})$ and $B = M(\{w_1, w_2\})$. For each vertex $v \in A \cup B$, let $d(v)$ denote the

number of neighbours of v in $A \cup B$.

(1) Let $v_1, v_2 \in A \cup B$ be distinct. Then $d(v_1) + d(v_2) \leq 2t - 2$; and if $d(v_1) + d(v_2) \geq 2t - 3$ then v_1, v_2 are adjacent and there is no v_1v_2 -join in B .

For we may assume that $d(v_1) + d(v_2) \geq 2t - 3$. For $i = 1, 2$, let A_i denote the set of vertices in A different from v_1, v_2 that are adjacent to v_i , and let B_i be the set of vertices in B different from v_1, v_2 that are adjacent to v_i . For $i = 1, 2$ let $u_i = v_i$ if $v_i \in A$ and let $u_i \in A \setminus \{v_1, v_2\}$ be adjacent to v_i if $v_i \in B$. (Such vertices u_i exist by 2.6.)

By the second assertion of 4.2, applied with $W = \{w_1, w_2, u_1, v_1\}$, $X = \{v_2\}$, Y the set of neighbours of v_2 in $N(W)$, and $Z = N(W) \setminus (X \cup Y)$, we deduce that $|Z| \leq 2(t - |Y|)$, since $\lambda(W) \leq 2$ and $t \geq 15$. For $i = 1, 2$, let $a_i = 1$ if $v_i \in A$ and $a_i = 0$ otherwise; and let $b_1 = 1$ if $u_1 \in A_2$ (and therefore $u_1 \neq v_1$ and $v_1 \in B$), and $b_1 = 0$ otherwise, and define b_2 similarly. Now

$$|Z| \geq |A \setminus (\{u_1, v_2\} \cup A_2)| + |B_1 \setminus B_2| \geq t + 3 - |A_2| + b_1 - a_2 + |B_1 \setminus B_2|,$$

since $|A| \geq t + 4$; and $|Y| \geq |A_2| - b_1 + |B_1 \cap B_2|$. Consequently

$$t + 3 - |A_2| + b_1 - a_2 + |B_1 \setminus B_2| \leq 2(t - |A_2| + b_1 - |B_1 \cap B_2|),$$

that is,

$$|A_2| + |B_1| + |B_1 \cap B_2| \leq t + b_1 + a_2 - 3.$$

By exchanging v_1, v_2 and adding, we obtain

$$|A_1| + |A_2| + |B_1| + |B_2| + 2|B_1 \cap B_2| \leq 2t - 6 + a_1 + a_2 + b_1 + b_2.$$

Now for $i = 1, 2$, $d(v_i) = |A_i| + |B_i| + x$, where $x = 1$ if v_1, v_2 are adjacent and otherwise $x = 0$. Let $d(v_1) + d(v_2) = 2t - 3 + y$, where $y \geq 0$; we deduce that

$$|A_1| + |A_2| + |B_1| + |B_2| + 2x = 2t - 3 + y.$$

Combining this with the previous inequality, we deduce that

$$2t - 3 + y - 2x + 2|B_1 \cap B_2| \leq 2t - 6 + a_1 + a_2 + b_1 + b_2,$$

that is, $3 + y + 2|B_1 \cap B_2| \leq 2x + a_1 + a_2 + b_1 + b_2$. Now if $v_1 \in A$ then $v_1 \notin A_2$ from the definition of A_2 , and so $a_1 + b_1 \leq 1$, and similarly $a_2 + b_2 \leq 1$; and so $a_1 + a_2 + b_1 + b_2 \leq 2$, and therefore $y + 1 + 2|B_1 \cap B_2| \leq 2x$. Consequently $x = 1$ and $|B_1 \cap B_2| = 0$, and $y \leq 1$. This proves (1).

(2) $d(v) \leq t - 1$ for each $v \in A \cup B$.

For suppose that $d(v_1) \geq t$ for some $v_1 \in A \cup B$; say $d(v_1) = t + x$ where $x \geq 0$. By (1), $d(v_2) \leq t - x - 2$ for every $v_2 \in A \cup B$ different from v_1 , and if v_1, v_2 are nonadjacent then $d(v_2) \leq t - x - 4$. Thus one vertex of $G|(A \cup B)$ has degree $t + x$; $t + x$ more have degree

at most $t - x - 2$; and the remaining $n - t - x - 3$ vertices have degree at most $t - x - 4$. Consequently the sum over all $v \in A \cup B$ of $d(v)$ is at most

$$t + x + (t + x)(t - x - 2) + (n - t - x - 3)(t - x - 4) = tn - x(n - 6) - 4(n - 3) \leq tn - 4n + 12.$$

By 3.2, $\deg(w_1) + \deg(w_2) \leq n + t - 2$, and so

$$2|E(G)| \leq tn - 4n + 12 + 2(n + t - 2) - 2 = tn - 2n + 6 + 2t.$$

But from the criticality of G , $2|E(G)| > (t + 1)(n - 1)$, and so $3n < 7 + 3t$, contrary to 3.1. This proves (2).

By (2), every vertex in A has degree at most $t + 1$, and every vertex in B has degree at most $t - 1$. Let X be the set of all vertices $v \in A$ with $\deg(v) = t + 1$. By the first assertion of 4.2, every vertex in A has at most $t - 2$ neighbours in A (in fact, at most $t - 4$, though we do not need this); and consequently every vertex in X has a neighbour in B . But if $v \in X$ then $d(v) \geq t - 1$, and so no two members of $X \cap A$ are adjacent to the same member of B . It follows that $|X| \leq |B|$. But $\text{surplus}(A) \leq |X|$, and $\text{surplus}(B) \leq -|B|$, and so $\text{surplus}(A \cup B) \leq 0$. Since $\text{surplus}(V(G)) \geq n - t$ by 3.3, it follows that $\text{surplus}(w_1) + \text{surplus}(w_2) \geq n - t$, contrary to 3.2. This proves 4.3. \blacksquare

5 Small t cases

In view of 4.3, it would be helpful to show that $t \geq 15$. We make a start on this with the following corollary of 4.2:

5.1 $t \geq 7$.

Proof. By 3.3 there is a vertex w of degree at least $t + 1$. Let C be a component of $M(w)$ (this exists, by 3.4); then $N(C) \subseteq N(w)$. By 3.5, $|N(C)| \geq 5$. By the first assertion of 4.2 applied to the grasp $(C, N(C))$, we deduce that $|N(W) \setminus N(C)| < 2(t - |N(C)|)$, and so $2t > |N(W)| + |N(C)| \geq (t + 1) + 5$. This proves 5.1. \blacksquare

To show $t \geq 15$ we need an elaboration of this. Given integers $h \geq 3$ and $z \geq 0$, we define $\beta_0 = 0$, and for $1 \leq i \leq h - 2$, we define inductively

$$\beta_i = \beta_{i-1} + \lceil 3(z - \beta_{i-1}) / (h - i + 1) \rceil.$$

We write $\beta_i(h, z)$ for β_i to show the dependence on h, z . Note that $\beta_i(h, z) \leq z$ and $\beta_i(h, z)$ is monotone nondecreasing in z . (To see the latter, prove inductively that if z is increased by 1 then either $\beta_i(h, z)$ remains the same or increases by 1.)

5.2 Let $W \subseteq V(G)$ be connected with $|W| \leq 2$. Then there exists h with $5 \leq h \leq t - 2$ such that

$$\beta_i(h, z) - 2i < 2t - h - |N(W)|$$

for all i with $0 \leq i \leq h - 2$, where $z = |N(W)| - h$.

Proof. If $|N(W)| \leq t$, then every choice of h with $5 \leq h \leq t - 2$ satisfies the theorem (and there is such a choice by 5.1), since $\beta_i(h, z) \leq z = |N(W)| - h$ for $i > 0$. Thus we may assume that $|N(W)| > t$.

Suppose first that $M(W) = \emptyset$. By 3.3, some vertex $v \in N(W)$ has degree at least $t + 1$, and hence has at least $t - 1$ neighbours in $N(W)$. By 4.2 applied to the grasp $(\{v\}, N(v) \cap N(W))$, we deduce that

$$|N(W)| - (1 + |N(v) \cap N(W)|) < 2(t - |N(v) \cap N(W)|),$$

and so

$$|N(W)| \leq 2t - |N(v) \cap N(W)| \leq t + 1.$$

Thus $n \leq t + 3$, contrary to 3.1. Therefore $M(W)$ is nonempty; let C be a component of $M(W)$. Let $Z = N(W) \setminus N(C)$, let $h = |N(C)|$, and let $z = |Z| = |N(W)| - h$; we will show that h, z satisfy the theorem. Certainly $h \geq 5$ since G is 5-connected by 3.5. By 4.2 applied to the grasp $(C, N(C))$, it follows that

$$|N(W)| - |N(C)| < 2(t - |N(C)|),$$

and since $|N(W)| > t$, we deduce that $h = |N(C)| \leq t - 2$.

(1) For $0 \leq i \leq h - 2$, there exists $X_i \subseteq N(C)$ with $|X_i| = i$ such that at least $\beta_i(h, z)$ vertices in $N(W) \setminus N(C)$ have neighbours in X_i .

This is trivial for $i = 0$, since $\beta_0(h, z) = 0$. We proceed by induction on i . Thus, assume that $1 \leq i \leq h - 2$ and there exists $X_{i-1} \subseteq N(C)$ with $|X_{i-1}| = i - 1$ such that $|Y| \geq \beta_{i-1}(h, z)$, where Y is the set of vertices in $N(W) \setminus N(C)$ with a neighbour in X_{i-1} . Choose $c \in C$; then every vertex in $Z \setminus Y$ has at least three common neighbours with c by 2.6, and therefore has at least three neighbours in $N(C)$, and therefore in $N(C) \setminus X_{i-1}$, since it has no neighbour in X_{i-1} . Consequently there exists $x \in N(C) \setminus X_{i-1}$ with at least $\lceil 3|Z \setminus Y| / (h - i + 1) \rceil$ neighbours in $Z \setminus Y$. Let $X_i = X_{i-1} \cup \{x\}$; then there are at least $|Y| + \lceil 3(z - |Y|) / (h - i + 1) \rceil$ vertices in Z with a neighbour in X_i . Since this expression is increasing with $|Y|$ (because $h - i + 1 \geq 3$), and $|Y| \geq \beta_{i-1}(h, z)$, it follows that there are at least

$$\beta_{i-1}(h, z) + \lceil 3(z - \beta_{i-1}(h, z)) / (h - i + 1) \rceil = \beta_i(h, z)$$

such vertices. This proves (1).

Now let i satisfy $0 \leq i \leq h - 2$, and let X_i be as in (1). Let Y_i be the set of vertices in Z with a neighbour in X_i . Thus $|Y_i| \geq \beta_i(h, z)$. From the first assertion of 4.2, applied to the grasp $(C \cup X_i, (N(C) \setminus X_i) \cup Y_i)$, we deduce that

$$|N(W)| - |N(C)| - |Y_i| < 2(t - (h - |X_i|) - |Y_i|),$$

that is, $z - |Y_i| < 2t - 2h + 2i - 2|Y_i|$. Since $|Y_i| \geq \beta_i(h, z)$ and $z = |N(W)| - h$, it follows that $|N(W)| + \beta_i(h, z) < 2t - h + 2i$. This proves 5.2. \blacksquare

From 5.2 we deduce:

5.3 *Let $W \subseteq V(G)$ be connected with $|W| \leq 2$. Then $|N(W)| \leq t + 3$, and if equality holds then $t \geq 14$.*

Proof. We may assume that $|N(W)| \geq t + 3$. We show first that $t \geq 14$. Choose h, z as in 5.2; then $5 \leq h \leq t - 2$, and

$$\beta_i(h, z) - 2i < 2t - h - |N(W)|$$

for all i with $0 \leq i \leq h - 2$. Consequently

$$\beta_i(h, t + 3 - h) - 2i \leq t - h - 4,$$

for all i with $0 \leq i \leq h - 2$, since $\beta_i(h, z)$ is a nondecreasing function in z . Setting $i = 0$, we deduce that $h \leq t - 4$. In particular $t \geq 9$, since $h \geq 5$. Also we may assume $h \leq 9$, for otherwise it follows that $t \geq 14$ as required. Setting $i = 1$ gives

$$\beta_1(h, t + 3 - h) \leq t - h - 2,$$

and so $3(t + 3 - h)/h \leq t - h - 2$, that is, $3(t + 3)/h \leq t - h + 1$. If $h = 5$ this implies $29 \leq 2t$, and so $t \geq 15$ as required. If $h = 9$ this implies $27 \leq 2t$ as required. We may therefore assume that $6 \leq h \leq 8$. Setting $i = 2$ gives $\beta_2(h, t + 3 - h) \leq t - h$, and so

$$\lceil 3(t + 3 - h)/h \rceil + \lceil 3(t + 3 - h - \lceil 3(t + 3 - h)/h \rceil)/(h - 1) \rceil \leq t - h,$$

that is,

$$3(t + 3)/h + \lceil 9/(h - 4) \rceil \leq t - (h - 3).$$

If $h = 6$ this gives $19 \leq t$ as required. If $h = 7$ this gives $29 \leq 2t$ as required. If $h = 8$ this gives $73 \leq 5t$ as required. This proves that $t \geq 14$.

To complete the proof, we must show that $|N(W)| = t + 3$. Suppose therefore that $|N(W)| \geq t + 4$. By 4.3 it follows that $t = 14$. Again, let h, z be as in 5.2; then $5 \leq h$, and

$$\beta_i(h, 18 - h) - 2i \leq 9 - h$$

for all i with $0 \leq i \leq h - 2$. Setting $i = 1$ gives $\beta_1(h, 18 - h) \leq 11 - h$ and so $3(18 - h)/h \leq 11 - h$, that is, $(h - 7)^2 + 5 \leq 0$, a contradiction. This proves 5.3. \blacksquare

6 Finding an edge with a large neighbourhood

Now we can complete the main proof.

Proof of 1.1.

An edge uv is *dominating* if every vertex of G is adjacent or equal to one of u, v . Take a vertex w of maximum degree $t + s$ say, chosen if possible such that there is a dominating edge not incident with w . Let $A = N(w)$, and $B = M(W)$.

(1) *Every vertex in A has at most $4 - s$ neighbours in B , and at most $3 - s$ if $t \leq 13$.*

For let $a \in A$, with say d neighbours in B . Then $|N(\{w, a\})| = t + s - 1 + d$, and so by 5.3, $t + s - 1 + d \leq t + 3$, and $t + s - 1 + d \leq t + 2$ if $t \leq 13$. This proves (1).

(2) *Every vertex in B has at least $\max(3, \frac{1}{2}t + s - 2)$ neighbours in A , and at least $\max(3, \frac{1}{2}t + s - 1)$ if $t \leq 13$.*

For let $b \in B$. Since v, b have at least three common neighbours by 2.6, it remains (for the first assertion) to show that b has at least $\frac{1}{2}t + s - 2$ neighbours in A . Choose $a \in A$ adjacent to b . There are at least $\frac{1}{2}t$ ab -joins by 2.2, and at most $3 - s$ of them belong to B , since a has at most $4 - s$ neighbours in B ; so at least $\frac{1}{2}t + s - 3$ of them belong to A and are different from a . Thus b has at least $\frac{1}{2}t + s - 2$ neighbours in A . This proves the first assertion of (2), and the second follows similarly.

(3) *Every vertex in A has at most $t - s$ neighbours in A .*

For let $v \in A$, let Y be the set of its neighbours in A , and $Z = A \setminus (Y \cup \{v\})$. By the first assertion of 4.2, $|Z| < 2(t - |Y|)$, and since $|Z| = s + t - 1 - |Y|$, this proves (3).

(4) $|B| \geq 2$.

For suppose that $|B| \leq 1$. By 3.1 it follows that $s \geq 2$. By 3.3 there is a vertex with degree at least $t + 1$ not in $B \cup \{w\}$, and therefore in A , contrary to (3). This proves (4).

(5) $s \leq 2$.

For (1) implies that $s \leq 4$. If $s = 4$, then since G is connected, (1) implies that B is empty, contrary to (4). Suppose that $s = 3$. By (2), every vertex in B has at least $\frac{1}{2}t + 1$ neighbours in A , and so (1) implies that $|B| \leq 2$, and so $|B| = 2$ by (4). The two members of B have no common neighbour, contrary to 2.2 and 2.6. This proves (5).

Let e_1 denote the number of edges between A and B , and e_2 the number of edges with both ends in B .

(6) If $s = 2$, then $t \geq 14$ and $e_2 \leq 1$ and $|B| \leq 3$.

For suppose that $s = 2$. Suppose first that $t \leq 13$. By (1) and (2), $|A| \geq e_1 \geq (\frac{1}{2}t + 1)|B|$, and since $|A| = t + 2$ and $t \geq 7$ by 5.1, it follows that $|B| \leq 2$, and so $|B| = 2$ by (4); let $B = \{b_1, b_2\}$. By (1), no vertex in A is adjacent to both b_1, b_2 , contrary to 2.2 and 2.6. This proves that $t \geq 14$.

By (1) and (2), $2|A| \geq e_1 \geq \lceil \frac{1}{2}t \rceil |B|$, and since $|A| = t + 2$ and $t \geq 9$ it follows that $|B| \leq 4$.

Suppose that there are three vertices $b_1, b_2, b_3 \in B$, pairwise adjacent. Now by 2.2 there are at least $\frac{1}{2}t$ b_1b_2 -joins, and so there are at least $\frac{1}{2}t - 2$ b_1b_2 -joins in A . The same holds for b_1b_3 - and b_2b_3 -joins, and all these vertices are different by (1). Thus at least $3(\frac{1}{2}t - 2)$ vertices in A have neighbours in $\{b_1, b_2, b_3\}$, and since $3(\frac{1}{2}t - 2) > t - 1$ (since $t \geq 11$), it follows that G has a $K_{2,t}$ minor, a contradiction. Thus no three members of B are pairwise adjacent.

Next suppose that there exist $b_1, b_2, b_3 \in B$ such that b_1b_2 and b_2b_3 are edges. There are at least $\frac{1}{2}t$ b_1b_2 -joins, all in A , and the same for b_2b_3 -joins, and they are all different by (1), so there are at least t vertices in A with neighbours in $\{b_1, b_2, b_3\}$, and contracting the edges within B gives a $K_{2,t}$ minor, a contradiction. Thus every vertex in B has at most one neighbour in B .

Suppose that $e_2 \geq 2$. Then it follows that $e_2 = 2$ and $|B| = 4$, and we may assume that b_1b_2 and b_3b_4 are edges, where $B = \{b_1, b_2, b_3, b_4\}$. There are at least $\frac{1}{2}t$ b_1b_2 -joins, all in A , and the same for b_3b_4 -joins; and at least three b_1b_3 -joins, by 2.6. All these vertices are different, by (1), so $|A| \geq t + 3$, a contradiction. This proves that $e_2 \leq 1$.

Suppose that $|B| = 4$, and so $n = t + 7$. Now the sum of the degrees of the four vertices in B is $e_1 + 2e_2$; and we have seen that $e_1 \leq 2(t + 2)$ and $e_2 \leq 1$. Thus

$$\text{surplus}(B) \leq (2t + 6) - 4t = 6 - 2t.$$

By (1) and (3), every vertex in A has degree at most $t + 1$, and so $\text{surplus}(A \cup \{w\}) \leq t + 4$. Thus $\text{surplus}(V(G)) \leq (6 - 2t) + (t + 4) = 10 - t$. But by 3.3, $\text{surplus}(V(G)) \geq n - t = 7 > 10 - t$, a contradiction. Consequently $|B| \leq 3$. This proves (6).

(7) If $s = 2$ then $|B| = 2$.

For suppose that $s = 2$; then $2 \leq |B| \leq 3$ from (4) and (6). Suppose that $|B| = 3$, $B = \{b_1, b_2, b_3\}$ say. Then $n = t + 6$. By (6), $e_2 \leq 1$.

Suppose that $e_2 = 1$, and let b_1b_2 be an edge say. There are at least $\frac{1}{2}t$ b_1b_2 -joins in A by 2.2, and at least $\frac{1}{2}t + 1$ neighbours of b_3 , also by 2.2, and all these vertices are different by (1). So there are at least $t + 1$ vertices in A with a neighbour in B . By 2.6, some vertex $a \in A$ is adjacent to both b_1, b_3 ; so contracting the edges b_1b_2, b_1a, b_3a gives a $K_{2,t}$ minor, a contradiction. This proves that $e_2 = 0$.

Suppose that every vertex in A has a neighbour in B . Choose a b_1b_2 -join $a_1 \in A$, and a b_2b_3 -join $a_2 \in A$. Then by contracting the edges $b_1a_1, a_1b_2, b_2a_2, a_2b_3$ we obtain a $K_{2,t}$ minor, a

contradiction. This proves that some vertex in A has no neighbour in B , and so $e_1 \leq 2(t+1)$. Then $\text{surplus}(B) \leq 2 - t$, and so

$$\text{surplus}(A) \geq t - 2 - \text{surplus}(w) + (n - t) = n - 4 = t + 2$$

by 3.3. By (3), every vertex in A has degree at most $t+1$, so all $t+2$ members of A have degree $t+1$. But some one of them has no neighbour in B as we already saw, and this contradicts (3). This proves (7).

(8) $s = 1$, and therefore every vertex in G has degree at most $t+1$, and $t \geq |B| - 1$.

For suppose that $s = 2$, and therefore $|B| = 2$, by (7), and so $n = t + 5$. Let $B = \{b_1, b_2\}$ say. Let X be the set of all vertices in $V(G) \setminus \{w\}$ with degree at least $t+1$. By 3.2, $X \cup \{w\}$ is a clique, and so $X \subseteq A$. By (1) and (3), every vertex in X has degree exactly $t+1$, and has exactly $t-2$ neighbours in A , and is adjacent to both b_1, b_2 . By 3.3, $|X| \geq n - t - 2 = 3$ since $\text{surplus}(v) = 2$. Let $a_0 \in X$, and let N be its set of neighbours in A . Let a_1, a_2, a_3 be the three vertices in A nonadjacent to a_0 . Since each of a_1, a_2, a_3 has at least $\frac{1}{2}t$ neighbours in A by 2.2, there are at least $3t/2 - 6$ edges between $\{a_1, a_2, a_3\}$ and N . Since $3t/2 - 6 > t - 2 = |N|$ since $t \geq 9$, some vertex $a_4 \in N$ is adjacent to two of a_1, a_2, a_3 , say to a_1, a_2 . Choose $a_5 \in X$ different from a_0, a_4 ; then $a_5 \in N$, and contracting the edges wa_5, a_0a_4 gives a $K_{2,t}$ minor, a contradiction. This proves the first statement of (8). The second follows from the choice of w . For the third, we observe from (1) that $e_1 \leq 3|A| = 3(t+1)$, and from (2) that $e_1 \geq 3|B|$, and so $|B| \leq t+1$. This proves (8).

Let $\kappa(B)$ be the number of components of B , and let A_0 be the set of vertices in A with no neighbour in B .

(9) $|A_0| + \kappa(B) \geq 3$, and for every component C of B , at most $t-2$ vertices in A have neighbours in C . (In particular, if B is connected then $|A_0| \geq 3$.)

For choose $T \subseteq B$ containing exactly one vertex of each component of B . Since every two members of T have a common neighbour in A by 2.6, it follows that there is a set $S \subseteq A$ with $|S| \leq |T| - 1$ such that $B \cup S$ is connected. Since contracting all edges within $B \cup S$ does not produce a $K_{2,t}$ minor, it follows that $|A \setminus (S \cup A_0)| < t$. Thus $t+1 - (\kappa(B) - 1) - |A_0| \leq t-1$, and this proves the first assertion. For the second, let C be a component of B . Let $Y = N(C) \subseteq A$, and $Z = A \setminus Y$. By the first assertion of 4.2, $|Z| < 2(t - |Y|)$, and since $|Z| = t+1 - |Y|$ this proves (9).

Let X be the set of all vertices in A with degree $t+1$. Let $d = 2$ if $t \leq 13$ and $d = 3$ otherwise. By (1), every vertex in A has at most d neighbours in B .

(10) $|X| + e_1 + 2e_2 \geq (t+1)|B| + 1$, and $|X| + |A_0| \leq t+1$, and so

$$2e_2 \geq (t+1)(|B| - d - 1) + (d+1)|A_0| + 1.$$

For since every vertex in A has degree at most $t+1$, it follows that $\text{surplus}(A \cup \{w\}) \leq |X| + 1$. But $\text{surplus}(B) = e_1 + 2e_2 - t|B|$, and by 3.3, $\text{surplus}(V(G)) \geq n - t = |B| + 2$, so

$$|X| + 1 + e_1 + 2e_2 - t|B| \geq |B| + 2.$$

This proves the first assertion. For the second, since no vertex in A has t neighbours in A by (3), it follows that $X \cap A_0 = \emptyset$, and so $|X| + |A_0| \leq t + 1$. But $e_1 \leq d(t + 1 - |A_0|)$ by (1), and so $|X| + e_1 \leq (d + 1)(t + 1 - |A_0|)$. Substituting in the first assertion, we deduce that $(d + 1)(t + 1 - |A_0|) + 2e_2 \geq (t + 1)|B| + 1$. This proves (10).

(11) $|B| \leq 5$, and if $t \leq 13$ then $|B| \leq 4$.

First suppose that $t \leq 13$. By (1) and (2), $2(t + 1) \geq e_1 \geq \lceil \frac{1}{2}t \rceil |B|$ and so $|B| \leq 4$ since $t \geq 7$. Thus we may assume that $t \geq 14$. By (1) and (2), $3(t + 1) \geq (\frac{1}{2}t - 1)|B|$, and it follows that $|B| \leq 7$. But (10) implies that $2e_2 \geq (t + 1)(|B| - 4) + 1 \geq 15(|B| - 4) + 1$. If $|B| = 7$, this implies that $2e_2 \geq 46$, a contradiction since $e_2 \leq 21$. If $|B| = 6$, this implies that $2e_2 \geq 31$, again a contradiction since $e_2 \leq 15$. This proves (11).

(12) $|B| \leq 4$.

For suppose that $|B| = 5$. By (11), $t \geq 14$ and so $d = 3$. By (10), $2e_2 \geq t + 4|A_0| + 2 \geq 16$, and so B is connected. Thus $|A_0| \geq 3$ by (9), and $2e_2 \geq t + 14 \geq 28$, which is impossible. This proves (12).

(13) $|B| \leq 3$.

For suppose that $|B| = 4$. By (10), $2e_2 \geq (3 - d)(t + 1) + (d + 1)|A_0| + 1$. If B is connected then $|A_0| \geq 3$ by (9), and so $12 \geq 2e_2 \geq (3 - d)(t + 1) + 3(d + 1) + 1$, which is impossible (since either $d = 3$, or $d = 2$ and $t \geq 7$). Thus B is not connected, and so $e_2 \leq 3$. Consequently $6 \geq (3 - d)(t + 1) + (d + 1)|A_0| + 1$, and so $d = 3$ and therefore $t \geq 14$, and $|A_0| \leq 1$.

Suppose that some vertex in B has more than one neighbour in B . Since B is not connected, it follows that B has two components C_1, C_2 , where $|C_1| = 3$ and $|C_2| = 1$. At least three vertices in A have no neighbour in C_1 , by (9), and so (1) implies $e_1 \leq 3(t + 1) - 6$. Since (10) implies $|X| + e_1 + 2e_2 \geq 4t + 5$, we deduce that $|X| + 2e_2 \geq t + 8$, which is impossible since $|X| \leq t + 1$ and $e_2 \leq 3$. Thus $G|B$ has maximum degree at most one, and in particular $e_2 \leq 2$.

Since $2e_2 \geq 4|A_0| + 1$, we deduce that $A_0 = \emptyset$. For every edge uv of $G|B$, at least two (indeed, at least three) vertices of A are nonadjacent to both u, v , by (9), and since no two edges within B share an end, and every vertex in A has a neighbour in B , it follows that there are at least $2e_2$ vertices in A with at most two neighbours in B . Consequently $e_1 \leq 3(t + 1) - 2e_2$; but $|X| + e_1 + 2e_2 \geq 4t + 5$ by (10), and so $|X| \geq t + 2$, which is impossible. This proves (13).

(14) *There is a dominating edge.*

For suppose not; then every vertex in A has at most $|B| - 1$ neighbours in B , and so $e_1 \leq (t + 1 - |A_0|)(|B| - 1)$. By (10),

$$t + 1 - |A_0| + e_1 + 2e_2 \geq |X| + e_1 + 2e_2 \geq (t + 1)|B| + 1,$$

and so

$$2e_2 \geq 1 + |A_0||B| \geq 1 + |B|(3 - \kappa(B))$$

by (9). In particular, $e_2 > 0$, and so $\kappa(B) \leq 2$; and consequently $2e_2 \geq 1 + |B|$, and therefore $|B| = 3$. We deduce that $2e_2 \geq 1 + 3(3 - \kappa(B))$; so $e_2 \geq 2$, and therefore $\kappa(B) = 1$, and $2e_2 \geq 1 + 3 \times 2$, which is impossible. This proves (14).

Since there are at least three vertices of degree $t + 1$ by 3.3, it is possible to choose one such that some dominating edge is not incident with it; and so from our choice of w , there is a dominating edge v_1v_2 say with $v_1, v_2 \neq w$.

(15) *Every vertex in A different from v_1, v_2 has at most one neighbour in B .*

For if there is a vertex $a \in A$ different from v_1, v_2 with at least two neighbours in B , then contracting the edges v_1v_2 and wa gives a $K_{2,t}$ minor, a contradiction.

By (4), we may choose distinct $b_1, b_2 \in B$, adjacent if possible. There are at least three b_1b_2 -joins by 2.6 and 2.2, and only two of them are in A by (15), and so the third is in B . Consequently $|B| = 3$, and b_1, b_2 are adjacent (from the choice of b_1, b_2), and $e_2 = 3$. By (9), $|A_0| \geq 3$, and by (15), $e_1 \leq t - 1 - |A_0| + 6 \leq t + 2$. By (10), $(t + 1 - |A_0|) + e_1 + 2e_2 \geq (t + 1)|B| + 1$, and so $(t - 2) + (t + 2) + 6 \geq 3(t + 1) + 1$, a contradiction. This proves 1.1. \blacksquare

7 Rooted minors

Now we come to the second topic of the paper, “rooted $K_{2,t}$ minors”. Let us say an *expansion* of H in G is a function ϕ with domain $V(G) \cup E(G)$, satisfying:

- for each vertex v of H , $\phi(v)$ is a nonnull connected subgraph of G , and the subgraphs $\phi(v)$ ($v \in V(H)$) are pairwise vertex-disjoint
- for each edge $e = uv$ of H , $\phi(e)$ is an edge of G with one end in $V(\phi(u))$ and the other in $V(\phi(v))$.

It is easy to see that H is a minor of G if and only if there is an expansion of H in G .

Now let G be a graph, let $r, r' \in V(G)$ be distinct, and let $t \geq 0$. We say that G contains an rr' -rooted $K_{2,t}$ minor if there is an expansion ϕ of $K_{2,t}$ in G , such that $\phi(s), \phi(s')$ each contain one of r, r' , where s, s' are two nonadjacent vertices of $K_{2,t}$ of degree t .

The result of this section is an analogue of 1.1 for rr' -rooted $K_{2,t}$ minors, but it needs a little care to formulate. In particular, if there is a cut (A_1, A_2, C) with $|C| \leq 1$ and $r, r' \in A_1 \cup C$, then G contains an rr' -rooted $K_{2,t}$ minor if and only if $G|(A_1 \cup C)$ contains such a minor, and therefore the number of edges within $A_2 \cup C$ is irrelevant. Let us say that G is *2-connected to rr'* if there is no cut (A_1, A_2, C) with $|C| \leq 1$ and $r, r' \in A_1 \cup C$. For $t \geq 2$, define $\delta(t) = \frac{1}{2}(t + 3 - \frac{4}{t+2})$. We shall prove the following.

7.1 *Let $t \geq 2$, let G be a graph with n vertices, let $r, r' \in V(G)$ be distinct, and let G be 2-connected to r, r' . If G contains no rr' -rooted $K_{2,t}$ minor then*

$$|E(G)| \leq \delta(t)(n - 1) - 1;$$

and for all $t \geq 2$ there are infinitely many such G that attain equality.

The proof requires several steps. First let us see the last claim, that there are infinitely many such graphs G that attain equality. Let $k \geq 1$ be an integer, and let $p_1 \cdots p_k$ be a path. Add a new vertex p_0 adjacent to each of p_1, \dots, p_k . For $1 \leq i \leq k$, take a set X_i of $t + 1$ new vertices, and choose distinct $x_i, x'_i \in X_i$; and make every two vertices in $X_i \cup \{p_{i-1}, p_i\}$ adjacent except for the pairs $p_{i-1}x_i, x_i x'_i$ and $x'_i p_i$. This graph G has n vertices, where $n = k(t + 2) + 1$, and has

$$\left(\frac{1}{2}(t + 2)(t + 3) - 2\right)k - 1 = \delta(t)(n - 1) - 1$$

edges. Moreover, it has no $p_0 p_k$ -rooted $K_{2,t}$ minor (we leave the reader to check this, but here is a hint: the edge $p_0 p_k$ is useless and can be deleted, and then p_{k-1} is a cutvertex.) This proves the last claim of the theorem.

The remainder of this section is devoted to proving the first claim. Suppose it is false; then there is a smallest graph G that is a counterexample (for some t). Moreover, if G is such a graph, and r, r' are nonadjacent in G , then we may add the edge rr' and delete some other edge, and the graph we produce is another minimal counterexample. Thus it suffices to show that there is no 5-tuple (G, t, r, r', n) with the following properties:

- G is a graph with n vertices, and $t \geq 2$
- $r, r' \in V(G)$ are distinct and adjacent, G is 2-connected to rr' , and G contains no rr' -rooted $K_{2,t}$ minor
- $|E(G)| > \delta(t)(n - 1) - 1$
- For all t' with $2 \leq t'$, and for every graph G' , and all distinct $s, s' \in V(G')$, if G' is 2-connected to ss' and G' contains no ss' -rooted $K_{2,t'}$ minor, and $|V(G')| < |V(G)|$, then

$$|E(G')| \leq \delta(t')(|V(G')| - 1) - 1.$$

We proceed to prove several statements about minimum counterexamples, that eventually will lead to a contradiction and thereby complete the proof of 7.1. The first is:

7.2 If (G, t, r, r', n) is a minimum counterexample then $n \geq t + 3$.

Proof. Suppose that $n \leq t + 2$. Since $\delta(t) \geq t/2 + 1$, we have $|E(G)| > (t/2 + 1)(n - 1) - 1$. In particular, $|E(G)| \geq 2$, since $n, t \geq 2$, and therefore $n \geq 3$. Let $|E(G)| = n(n - 1)/2 - x$ say, where $x \geq 0$ is an integer. Then

$$n(n - 1)/2 - x > (t/2 + 1)(n - 1) - 1,$$

that is,

$$(t + 2 - n)(n - 1)/2 + x < 1;$$

and since $n - 1 \geq 2$ and $t + 2 - n, x \geq 0$, we deduce that $x = 0$ and $n = t + 2$. Consequently G is isomorphic to the complete graph K_{t+2} , and therefore has an rr' -rooted $K_{2,t}$ minor, a contradiction. This proves 7.2. \blacksquare

A notational convention: when we produce a minor H of G by contracting some edges, naming the vertices of H is sometimes a little awkward. Some of them may correspond to single vertices of G , in which case it is natural to give them the same name as that vertex of G , but some may be formed by identifying several vertices of G . In our case, when we have two distinguished vertices r, r' , we adopt the convention that if a vertex of H is formed by identifying r with other vertices of G , we give this vertex the name r (and the same for r' , and we will be careful not to identify r and r' under contraction).

Let H be a graph, and let u, v be distinct vertices of H . Let H' be the graph obtained from H by adding the edge uv if u, v are nonadjacent in H , and otherwise $H' = H$. We say that H' is obtained from H by *adding uv* .

7.3 If (G, t, r, r', n) is a minimum counterexample then there is no 2-cut (A_1, A_2, C) with $r, r' \in A_1 \cup C$.

Proof. Suppose that there is, and choose it with A_2 maximal, and let $C = \{c, c'\}$. For $i = 1, 2$, let $n_i = |A_i|$ and let e_i be the number of edges of G with at least one end in A_i .

Suppose first that $C = \{r, r'\}$. Since $A_1 \neq \emptyset$, and the graph $G|(A_1 \cup C)$ therefore has an rr' -rooted $K_{1,2}$ minor, it follows that $G|(A_2 \cup C)$ has no rr' -rooted $K_{t-1,2}$ minor (and so $t \geq 3$). The minimality of (G, t, r, r', n) (applied to $G|(A_2 \cup C)$) implies that $e_2 + 1 \leq \delta(t-1)(n_2 + 1) - 1$. A similar inequality holds for e_1, n_1 , and adding the two gives

$$e_1 + e_2 + 2 \leq \delta(t-1)(n_1 + n_2 + 2) - 2.$$

But $e_1 + e_2 + 1 = |E(G)| > \delta(t)(n-1) - 1$, and $n_1 + n_2 + 2 = n$, and so $\delta(t-1)n - 2 > \delta(t)(n-1)$. Since $\delta(t) \geq \delta(t-1) + \frac{1}{2}$, it follows that $(\delta(t) - \frac{1}{2})n - 2 > \delta(t)(n-1)$, that is, $n + 4 < 2\delta(t)$. Thus

$$\frac{1}{2}n(n-1) \geq |E(G)| > \delta(t)(n-1) - 1 > \frac{1}{2}(n+4)(n-1) - 1,$$

and so $n \leq 1$, a contradiction. This proves that $C \neq \{r, r'\}$.

Let $y = 1$ if c, c' are adjacent, and $y = 0$ otherwise. We claim that $n_2 \geq 3$. For let F be the graph obtained from $G|(A_1 \cup C)$ by adding cc' . Then $|E(F)| = e_1 + 1$; but F is 2-connected to rr' , and F has no rr' -rooted $K_{2,t}$ minor, so from the minimality of (G, t, r, r', n) , $e_1 + 1 \leq \delta(t)(n_1 + 1) - 1$. But

$$e_1 + e_2 + y = |E(G)| > \delta(t)(n_1 + n_2 + 1) - 1,$$

and subtracting yields $e_2 + y - 1 > \delta(t)n_2$. Since $y \leq 1$, we deduce that $e_2 > \delta(t)n_2$. In particular, since $\delta(t) \geq 2$ and $n_2 \geq 1$, it follows that $e_2 \geq 3$, and so $n_2 \geq 2$. Suppose that $n_2 = 2$. Then $e_2 \leq 5$, and yet $e_2 > 2\delta(t)$, and so $5 > 2\delta(t)$, that is, $t = 2$, and $e_2 = 5$. In particular both members of A_2 are adjacent to both members of C ; but then G has an rr' -rooted $K_{2,t}$ minor, by choosing two disjoint paths between $\{r, r'\}$ and C and contracting their edges, a contradiction. This proves that $n_2 \geq 3$.

Let X be the set of vertices in A_1 adjacent to both c, c' . Since G is 2-connected to rr' , there are two disjoint paths P_1, P_2 of $G|(A_1 \cup C)$ between $\{r, r'\}$ and $\{c, c'\}$; choose them to contain as few members of X as possible. Let there be x vertices in X that do not belong to $P_1 \cup P_2$. Let H be the graph obtained from $G|(A_2 \cup C)$ by adding cc' . Then H has no cc' -rooted $K_{2,t-x}$ minor (for otherwise we could contract the edges of P_1, P_2 and obtain an rr' -rooted $K_{2,t}$ minor in G). In particular, since $A_2 \neq \emptyset$ and H therefore has a cc' -rooted $K_{2,1}$ minor, it follows that $t - x \geq 2$. Since H is 2-connected to cc' , and $|E(H)| = e_2 + 1$, the minimality of (G, t, r, r', n) implies that

$$e_2 \leq \delta(t-x)(n_2 + 1) - 2.$$

Let $e_2 = \delta(t-x)(n_2 + 1) - 2 - z$ say, where $z \geq 0$. Let J be the graph obtained from G by deleting all edges between X and c , and then contracting all edges within $A_2 \cup C$ (note that this graph has no parallel edges, since we deleted the edges between X and c). The maximality of A_2 implies that J is 2-connected to r, r' . (We use here that not both r, r' belong to C .) Since $|E(J)| = e_1 - |X|$ and $|V(J)| = n_1 + 1$, the minimality of (G, t, r, r', n) implies that $e_1 - |X| \leq \delta(t)n_1 - 1$. Summing these two inequalities yields

$$e_1 + e_2 - |X| \leq \delta(t)n_1 + \delta(t-x)(n_2 + 1) - 3 - z.$$

Since $e_1 + e_2 + y = |E(G)| > \delta(t)(n - 1) - 1$, it follows that

$$\delta(t)n_1 + \delta(t-x)(n_2 + 1) - 3 - z > \delta(t)(n - 1) - 1 - y - |X|,$$

that is,

$$|X| + y - z > (\delta(t) - \delta(t-x))(n_2 + 1) + 2.$$

Since $y \leq 1$ and $\delta(t) - \delta(t-x) \geq x/2$, we deduce that $|X| - z > x(n_2 + 1)/2 + 1$, and in particular $|X| - z > 2x + 1$ since $n_2 \geq 3$. Since $|X| \leq x + 2$, it follows that $x = 0$ and $|X| = 2$ and $z < 1$.

We deduce that P_1, P_2 both contain members of X , and therefore $C, X, \{r, r'\}$ are pairwise disjoint sets. Let $X = \{x_1, x_2\}$ where $x_i \in V(P_i)$ for $i = 1, 2$. We may assume that $r \in V(P_1)$

and $r' \in V(P_2)$; for $i = 1, 2$ let Q_i be the maximal subpath of P_i disjoint from $C \cup X$. Suppose first that $\{r, r'\} \neq \{x_1, x_2\}$. From the maximality of A_2 , there is a path of $G|(A_1 \cup C)$ between C and $\{r, r'\}$ with no vertex in X . Consequently there is a path of $G|(A_1 \cup C)$ between C and $V(Q_1 \cup Q_2)$ with no vertex in X . Choose a minimal such path Q , say between c and $V(Q_1)$. Then in $Q_1 \cup Q$ there is a path P'_1 between c and r , containing no vertex of X and disjoint from $V(P_2) \setminus \{c\}$; and in $G|(V(Q_2) \cup \{x_2, c'\})$ there is a path P'_2 between c' and r' , disjoint from P'_1 . But this contradicts the choice of P_1, P_2 .

We deduce that $\{r, r'\} = \{x_1, x_2\}$. Since G has an rr' -rooted $K_{2,2}$ minor (indeed, subgraph), it follows that $t \geq 3$. Suppose that $A_1 = \{r, r'\}$. Then $e_1 = 5$, and we recall that $e_2 \leq \delta(t)(n_2 + 1) - 2$ (since $x = 0$), and so $|E(G)| \leq \delta(t)(n_2 + 1) + 4$; and since $|E(G)| > \delta(t)(n - 1) - 1$ and $n = n_2 + 4$, we deduce that

$$\delta(t)(n_2 + 1) + 4 > \delta(t)(n_2 + 3) - 1,$$

that is, $5 > 2\delta(t)$, which is impossible since $t \geq 3$. Thus $n_2 > 2$. From the maximality of A_2 , there is therefore a path Q with nonnull interior between X and C , with interior in $A_1 \setminus X$. Let Q be $c-q_1-\dots-q_k-r'$ say. By contracting the edges $cx_1, c'x_2$, and all the edges of the path $q_1-\dots-q_k$, we deduce that the graph H (defined earlier) has no cc' -rooted $K_{2,t-1}$ minor; and so $e_2 + 1 \leq \delta(t-1)(n_2 + 1) - 1$. But $e_2 > \delta(t)(n_2 + 1) - 3$ since $z < 1$, and so

$$\delta(t-1)(n_2 + 1) - 2 > \delta(t)(n_2 + 1) - 3,$$

that is, $1 > (\delta(t) - \delta(t-1))(n_2 + 1)$, and since $\delta(t) - \delta(t-1) \geq 1/2$, this is impossible. This proves 7.3. ■

7.4 *If (G, t, r, r', n) is a minimum counterexample and $u, v \in V(G)$ are adjacent and $\{u, v\} \neq \{r, r'\}$ then $|X(uv)| \geq \frac{1}{2}(t + 1)$. Moreover, if $u, v, w, x \in V(G)$ are pairwise adjacent, and $\{u, v\}, \{w, x\} \neq \{r, r'\}$, then $|X(uv)| + |X(wx)| \geq t + 2$.*

Proof. Let G' be obtained from G by deleting all edges between u and $X(uv)$, and then contracting the edge uv . From 7.3 it follows that G' is 2-connected to rr' ; and since G' has no rr' -rooted $K_{2,t}$ minor, the minimality of (G, t, r, r', n) implies that $|E(G')| \leq \delta(t)(n - 2) - 1$. But $|E(G)| > \delta(t)(n - 1) - 1$, and $|E(G)| - |E(G')| = |X(uv)| + 1$, and so

$$|X(uv)| + 1 > \delta(t) = \frac{1}{2}(t + 3 - 4/(t + 2)).$$

Hence $|X(uv)| + 1 \geq \frac{1}{2}(t + 3)$, that is, $|X(uv)| \geq \frac{1}{2}(t + 1)$. This proves the first assertion.

For the second, let $u, v, w, x \in V(G)$ be pairwise adjacent, and let G'' be obtained from G by deleting all edges between u and $X(uv)$, and between w and $X(wx)$, and then contracting the edges uv and wx . From 7.3, G'' is 2-connected to rr' , and so the minimality of (G, t, r, r', n) implies that $|E(G'')| \leq \delta(t)(n - 3) - 1$. But $|E(G)| - |E(G'')| = |X(uv)| + |X(wx)| + 1$ (since the edge uw is both between u and $X(uv)$ and between w and $X(wx)$); consequently

$$|X(uv)| + |X(wx)| + 1 > 2\delta(t) \geq t + 2,$$

and so $|X(uv)| + |X(wx)| \geq t + 2$. This proves 7.4. ■

7.5 *If (G, t, r, r', n) is a minimum counterexample, then there are two paths P_1, P_2 between r, r' , both with nonempty interior, and disjoint except for their ends. Consequently $t \geq 3$.*

Proof. Suppose not. Let G' be the graph obtained from G by deleting the edge rr' . By Menger's theorem there is a cut (A_1, A_2, C) of G' with $r \in A_1$ and $r' \in A_2$, and with $|C| \leq 1$. By 7.3, $(A_1, A_2 \setminus \{r'\}, C \cup \{r'\})$ is not a cut of G , since $r, r' \in A_1 \cup C \cup \{r'\}$; and so $A_2 = \{r'\}$. Similarly $A_1 = \{r\}$, and so $|V(G)| \leq 3$, and yet $|E(G)| > \delta(t)(n-1) - 1 \geq 2n - 3$ which is impossible. This proves 7.5. ■

7.6 *If (G, t, r, r', n) is a minimum counterexample, then $X(rr') \neq \emptyset$.*

Proof. Suppose that $X(rr') = \emptyset$. Let P_1, P_2 be as in 7.5. We cannot choose P_1, P_2 to be induced paths, since r, r' are adjacent; but we can choose them induced except for the edge rr' . More precisely, we may choose P_1, P_2 such that for $i = 1, 2$, every pair of vertices of P_i that are adjacent in G are also adjacent in P_i , except for the pair rr' . If P_1, P_2 are chosen in this way we say the pair P_1, P_2 is *1-optimal*. We say the pair is *2-optimal* if it is 1-optimal and in addition, every rr' -join is a vertex of one of P_1, P_2 . We say the pair is *3-optimal* if $|V(P_1)| + |V(P_2)|$ is minimized over all pairs satisfying 7.5. (By 7.5 there is a 3-optimal pair, and by 7.7 every 3-optimal pair is also 2-optimal.)

Below, we prove several statements about a 1-optimal pair P_1, P_2 . For $i = 1, 2$, let p_i be the neighbour of r in P_i , and let p'_i be the neighbour of r' in P_i .

(1) *t is odd, and for every 1-optimal pair P_1, P_2 , with p_1, p_2, p'_1, p'_2 defined as above, it follows that p_1, p_2 are adjacent, and p'_1, p'_2 are adjacent, and the edges $rp_1, rp_2, r'p'_1, r'p'_2$ are each in exactly $(t+1)/2$ triangles.*

For by contracting all edges of P_1 except rp_1 , and all edges of P_2 except $r'p'_2$, we do not produce an rr' -rooted $K_{2,t}$ minor, and so there are at most $t-1$ vertices not in $V(P_1 \cup P_2)$ that are either rp_1 -joins or $r'p'_2$ -joins. Now there are at least $(t+1)/2$ rp_1 -joins, and at most one of them is in $V(P_1 \cup P_2)$ (namely p_2 , and only if p_1, p_2 are adjacent; here we use that $p_1 \notin X(rr')$), so at least $(t-1)/2$ are not in $V(P_1 \cup P_2)$. Similarly there are at least $(t-1)/2$ $r'p'_2$ -joins that are not in $V(P_1 \cup P_2)$. But no rp_1 -join is also an $r'p'_2$ -join, since $X(rr') = \emptyset$; and so we have equality throughout. In particular, t is odd, and p_1, p_2 are adjacent, and so are p'_1, p'_2 . This proves (1).

(2) *If P_1, P_2 is a 1-optimal pair, then P_1, P_2 both have at least four edges.*

Since $X(rr') = \emptyset$, it follows that P_1, P_2 both have at least three edges; suppose that P_1 has exactly three, and its vertices are $r-p_1-p'_1-r'$ in order. Let G' be the graph obtained from G by deleting p'_1 and deleting all edges between p_1 and $X(rp_1)$, and then contracting rp_1 . Since t is odd and $|X(rp_1)| = (t+1)/2$ by (1), it follows that

$$|E(G')| = |E(G)| - (t+3)/2 - \deg(p') > \delta(t)(n-1) - (t+5)/2 - \deg(p'_1).$$

We claim that G' is 2-connected to rr' . For suppose not; then there is a component C of $V(G) \setminus V(P_1 \cup P_2)$ such that no vertex of $P_1 \cup P_2$ has a neighbour in C except possibly r, p_1, p'_1 . By 7.3, both r and p'_1 have neighbours in C . Consequently there is a path Q between r, r' , with interior in $(V(P_1 \setminus p_1) \cup V(C))$, induced except for the edge rr' . Then Q, P_2 form a 1-optimal pair, and the neighbours of r in P_2, Q are nonadjacent, contrary to (1). This proves that G' is 2-connected to rr' . Now G' contains no rr' -rooted $K_{2,t-1}$ minor; and so from the minimality of (G, t, r, r', n) , we deduce that $|E(G')| \leq \delta(t-1)(n-3) - 1$, and so

$$\delta(t)(n-1) - (t+5)/2 - \deg(p'_1) < \delta(t-1)(n-3) - 1,$$

that is,

$$2 \deg(p'_1) > n + t + 4 \frac{n-5-2t}{(t+1)(t+2)}.$$

Since $n \geq t+3$, it follows that

$$4 \frac{n-5-2t}{(t+1)(t+2)} \geq -4/(t+1) \geq -1,$$

and so $2 \deg(p'_1) \geq n + t$. The same holds for $\deg(p_1)$, and so $\deg(p_1) + \deg(p'_1) \geq n + t$. Consequently there are at least t $p_1 p'_1$ -joins, and they all belong to $V(G) \setminus V(P_1)$, so contracting the edges rp_1 and $r'p'_1$ produces an rr' -rooted $K_{2,t}$ minor, a contradiction. This proves (2).

(3) *If P_1, P_2 is a 1-optimal pair, and C is a connected subgraph of $G \setminus V(P_1 \cup P_2)$, and for $i = 1, 2$ some vertex of the interior of P_i has a neighbour in $V(C)$, then one of r, r' has a neighbour in $V(C)$.*

For suppose that r, r' are anticomplete to $V(C)$. Define p_1, p_2, p'_1, p'_2 as before. At most one member of $X(rp_1)$ belongs to $V(P_1 \cup P_2)$ (namely, p_2), since the pair P_1, P_2 is 1-optimal, and none of them belong to $V(C)$ since r is anticomplete to $V(C)$. Thus by 7.4, at least $(t-1)/2$ members of $X(rp_1)$ do not belong to $V(P_1 \cup P_2 \cup C)$. Similarly at least $(t-1)/2$ members of $X(r'p'_2)$ do not belong to $V(P_1 \cup P_2 \cup C)$. Since $X(rr') = \emptyset$, and therefore $X(rp_1) \cap X(r'p'_2) = \emptyset$, we deduce that there are at least $t-1$ members of $X(rp_1) \cup X(r'p'_2)$ that do not belong to $V(P_1 \cup P_2 \cup C)$. Consequently contracting all edges of $P_1 \cup P_2$ except rp_1 and $r'p'_2$ (and contracting some edges of C) produces an rr' -rooted $K_{2,t}$ minor, a contradiction. This proves (3).

(4) *If P_1, P_2 is a 3-optimal pair, then for every edge uv of P_1 , some member of $X(uv)$ belongs to $V(P_2)$.*

For suppose not. By (1) it follows that $u, v \neq r, r'$. We may assume that r, u, v, r' occur in this order in P_1 . Since we do not produce an rr' -rooted $K_{2,t}$ minor by contracting all edges of $P_1 \cup P_2$ except uv and rp_2 , it follows that there are at most $t-1$ members of $X(rp_2) \cup X(uv)$ that do not belong to $V(P_1 \cup P_2)$. Since $V(P_1 \cup P_2)$ contains only one member of $X(rp_2)$, and

no member of $X(uv)$, 7.4 implies that there exists $w \in X(rp_2) \cap X(uv)$. Thus w is adjacent to both r, v , and does not belong to P_2 . From the 3-optimality of the pair P_1, P_2 , it follows that no path between r, r' with nonempty interior in $V(P_1 \cup \{w\})$ has strictly fewer edges than P_1 , and in particular r, u are adjacent. Similarly r', v are adjacent; but then P_1 has only three edges, contrary to (2). This proves (4).

(5) *If P_1, P_2 is a 3-optimal pair, then P_1, P_2 both have exactly four edges.*

For by (2) they both have at least four edges; suppose that P_1 has at least five, and choose an edge uv of P_1 such that u, v are both nonadjacent to both of r, r' . We may assume that r, u, v, r' are in order in P_1 . Suppose first that some uv -join w does not belong to $V(P_2)$. By 7.3, there is a path between w and $V(P_1 \cup P_2)$ containing neither of u, v ; and so there is a path $w = q_0 - q_1 - \dots - q_k$ say, such that $q_0, \dots, q_k \notin V(P_1 \cup P_2)$, and q_k is adjacent to some $y \in V(P_1 \cup P_2) \setminus \{u, v\}$. Choose such a path with k minimum. (Possibly $k = 0$.) It follows that for $0 \leq i < k$, q_i has no neighbour in $V(P_1 \cup P_2) \setminus \{u, v\}$.

We claim that q_k has a neighbour in $V(P_1) \setminus \{u, v\}$, and we may therefore assume that $y \in V(P_1)$. For suppose not; then y belongs to the interior of P_2 , and in particular r, r' are nonadjacent to q_k . Hence r, r' have no neighbours in $\{q_0, \dots, q_k\}$, contrary to (3). This proves that we may choose $y \in V(P_1)$. From the symmetry we may assume that y belongs to the subpath of P_1 between r and u .

Now there is a path with nonempty interior, between r, r' , with interior contained in $(V(P_1) \setminus \{u\}) \cup \{q_0, \dots, q_k\}$; choose such a path, P_3 say, minimal. Thus the pair P_3, P_2 is 1-optimal. Some vertex of P_3 does not belong to P_1 , and so we may choose $i \leq k$ minimum such that $q_i \in V(P_3)$. Let C be the subgraph induced on $\{u, q_0, \dots, q_{i-1}\}$. Thus C is connected, and disjoint from both P_2, P_3 , and r, r' both have no neighbours in C (since $q_k \notin V(C)$). Moreover, q_i belongs to the interior of P_3 , and has a neighbour in $V(C)$; and by (4), some vertex of the interior of P_2 is adjacent to u and therefore has a neighbour in $V(C)$. But this contradicts (3) applied to C and the 1-optimal pair P_2, P_3 .

This proves that there is no such vertex w , and so every uv -join belongs to $V(P_2)$. Since P_1, P_2 is 3-optimal, it follows that every two uv -joins in $V(P_2)$ are adjacent (for otherwise we could choose another pair of paths with smaller union), and in particular there are at most two uv -joins. By 7.4 there are at least $(t+1)/2$ uv -joins, and so $t = 3$, and there are exactly two uv -joins x, y say, and x, y are adjacent members of the interior of P_2 . Thus u, v, x, y are pairwise adjacent, and so by the second statement of 7.4, $|X(uv)| + |X(xy)| \geq t + 2 = 5$. Since $|X(uv)| = 3$, it follows that there is an xy -join z different from u, v . But then contracting all edges of P_2 except xy gives an rr' -rooted $K_{2,3}$ minor, a contradiction. This proves (5).

For $i = 1, 2$, let q_i be the middle vertex of P_i ; thus P_i has vertices $r - p_i - q_i - p'_i - r'$ in order.

(6) $\deg(q_1), \deg(q_2) \geq (n + t - 2)/2$.

For let G' be obtained from G by deleting the edges between p_1 and $X(rp_1)$, and between

p'_1 and $x(r'p'_1)$, and deleting q_1 , and contracting the edges rp_1 and $r'p'_1$. From 7.3, G' is 2-connected to rr' . Since G' has no rr' -rooted $K_{2,t-1}$ minor, the minimality of (G, t, r, r', n) implies that $|E(G')| \leq \delta(t-1)(n-4) - 1$. But

$$|E(G')| = |E(G)| - |X(rp_1)| - |X(r'p'_1)| - 2 - \deg(q_1),$$

and by (1) $|X(rp_1)| = |X(r'p'_1)| = (t+1)/2$. Consequently

$$|E(G)| - (t+1) - 2 - \deg(q_1) \leq \delta(t-1)(n-4) - 1,$$

that is, $|E(G)| \leq \delta(t-1)(n-4) + t + 2 + \deg(q_1)$. But $|E(G)| > \delta(t)(n-1) - 1$, and therefore

$$\delta(t)(n-1) - 1 < \delta(t-1)(n-4) + t + 2 + \deg(q_1),$$

that is,

$$n + t - 1 + 4 \frac{n - 3t - 7}{(t+2)(t+1)} < 2 \deg(q_1).$$

Since $n \geq t + 3$, it follows that

$$4 \frac{n - 3t - 7}{(t+2)(t+1)} \geq -8/(t+1) \geq -2,$$

and so $n + t - 2 \leq 2 \deg(q_1)$. This proves (6).

There are at least $(t-1)/2$ $r'p'_2$ -joins that are not in $V(P_1 \cup P_2)$, and at least $(t-1)/2$ rp_1 -joins with the same property. If all these rp_1 -joins are adjacent to q_1 , then (since p_1 is adjacent to r, q_1) contracting the edges $q_1p'_1, p'_1r', rp_2, p_2q_2, q_2p'_2$ yields an rr' -rooted $K_{2,t}$ minor, a contradiction. We deduce that some rp_1 -join s_1 say is not in $V(P_1 \cup P_2)$ and is not adjacent to q_1 . Similarly some $r'p'_2$ -join s_2 is not in $V(P_1 \cup P_2)$ and is nonadjacent to q_2 .

Let $X_1 = X(q_1q_2) \setminus V(P_1 \cup P_2)$, and $X_2 = X(q_1q_2) \cap V(P_1 \cup P_2)$. Let Z be the set of all vertices different from r, r' that are nonadjacent to both q_1, q_2 (with $q_1, q_2 \in Z$ if q_1, q_2 are nonadjacent). Let $A_1 = \{r, p_1, q_1\}$ and $A_2 = \{r', p'_2, q_2\}$. Let B be the set of all vertices not in $V(P_1 \cup P_2) \cup X_1$ with a neighbour in A_1 and a neighbour in A_2 . Since G does not contain an rr' -rooted $K_{2,t}$ minor obtained by contracting the edges of $G|_{A_1}$ and $G|_{A_2}$, and since every vertex in $B \cup X_1 \cup \{p'_1, p_2\}$ has a neighbour in A_1 and one in A_2 , it follows that $|B| \leq t - 3 - |X_1|$.

Now if s_1 is nonadjacent to q_2 then $s_1 \in Z$, and if s_1 is adjacent to q_2 then $s_1 \in B$, and similarly s_2 belongs to one of Z, B . Since $s_1 \neq s_2$, we deduce that $|B| + |Z| \geq 2$, and therefore $2 - |Z| \leq t - 3 - |X_1|$, that is, $|X_1| \leq |Z| + t - 5$. Since $X_2 \subseteq \{p_1, p'_1, p_2, p'_2\}$ and therefore $|X_2| \leq 4$, it follows that $|X(q_1q_2)| = |X_1| + |X_2| \leq |Z| + t - 1$. But

$$|X(q_1q_2)| + (n - |Z| - 2) = \deg(q_1) + \deg(q_2),$$

and so $\deg(q_1) + \deg(q_2) \leq n + t - 3$, contrary to (6). This proves 7.6. ■

7.7 If (G, t, r, r', n) is a minimum counterexample, then there is exactly one rr' -join x , and $\deg(x) > \delta(t) + (\delta(t) - \delta(t-1))(n-2)$.

Proof. By 7.6 there is an rr' -join x . We prove first that $\deg(x) > \delta(t) + (\delta(t) - \delta(t-1))(n-2)$. For let G' be obtained from G by deleting x . By 7.3, G' is 2-connected to rr' , and has no rr' -rooted $K_{2,t-1}$ minor (for otherwise this could be extended to an rr' -rooted $K_{2,t}$ minor in G , using x). From the minimality of (G, t, r, r', n) , $|E(G')| \leq \delta(t-1)(n-2) - 1$. But $|E(G)| > \delta(t)(n-1) - 1$, and $|E(G)| - |E(G')| = \deg(x)$, and so $\deg(x) > \delta(t)(n-1) - \delta(t-1)(n-2)$. This proves the claim.

Now suppose that y is another rr' -join. If there are t vertices different from x, y, r, r' and adjacent to both x, y , then contracting the edges $rx, r'y$ gives an rr' -rooted $K_{2,t}$ minor, a contradiction. Thus there are at most $t-1$ such vertices, and hence $\deg(x) + \deg(y) \leq 6 + (n-4) + (t-1) = n + t + 1$. But we have seen that $\deg(x), \deg(y) > \delta(t) + (\delta(t) - \delta(t-1))(n-2)$, and so $2\delta(t) + 2(\delta(t) - \delta(t-1))(n-2) < n + t + 1$, which on substituting the expressions for $\delta(t)$ and $\delta(t-1)$ simplifies down to $n < t + 3$, a contradiction. This proves 7.7. \blacksquare

In view of 7.7, it remains to handle the case when $|X(rr')| = 1$. This will take several more lemmas, but first let us set up some notation. In what follows in this section, (G, t, r, r', n) is a minimum counterexample; there is a unique rr' -join x ; and N, N' are the sets of vertices in $V(G) \setminus \{x, r, r'\}$ adjacent to r, r' respectively. (Since $X(rr') = \{x\}$, it follows that $N \cap N' = \emptyset$.) Let $W = V(G) \setminus (N \cup N' \cup \{x, r, r'\})$. We fix $p \in N$ and $p' \in N'$ and a path P , such that P is between p, p' and its interior is a subset of W . (This is possible by 7.5.) We partition $N \setminus \{p\}$ into four sets A, B, C, D as follows. A vertex in $N \setminus \{p\}$ belongs to $A \cup C$ if and only if it is adjacent to p , and it belongs to $B \cup C$ if and only if it is adjacent to x . (Thus, A is the set of vertices in $N \setminus \{p\}$ adjacent to p and not to x , and so on.) We define A', B', C', D' similarly with r, r' exchanged. Let $e = 1$ if x, p are adjacent, and $e = 0$ otherwise; and let $e' = 1$ if x, p' are adjacent, and $e' = 0$ otherwise.

7.8 The following inequalities hold:

$$|A| + |C| + |B'| + |C'| \leq t - 1;$$

$$|A'| + |C'| + |B| + |C| \leq t - 1;$$

$$(t+1)/2 - e \leq |A| + |C| \leq (t-1)/2 + e';$$

$$(t+1)/2 - e' \leq |A'| + |C'| \leq (t-1)/2 + e;$$

$$(t-1)/2 - e \leq |B| + |C| \leq (t-3)/2 + e';$$

$$(t-1)/2 - e' \leq |B'| + |C'| \leq (t-3)/2 + e.$$

Proof. Since contracting $rx, r'p'$ and all edges of P does not produce an rr' -rooted $K_{2,t}$ minor, the first statement holds, and the second follows by exchanging r, r' . The four remaining lower bounds are consequences of 7.4 applied to the edges $rp, r'p', rx, r'x$; and the upper bounds follow from these and the first two statements. This proves 7.8. \blacksquare

7.9 If $a \in A$ has no neighbour in N' , then there is an integer $h \geq (t+1)/2$ and disjoint subsets $X_1, X_2, \dots, X_h, Y_1, Y_2 \subseteq V(G) \setminus (N' \cup \{r', x\})$, satisfying:

- each of $X_1, \dots, X_h, Y_1, Y_2$ induces a connected subgraph of G
- $r \in Y_1, p \in Y_2$
- for $1 \leq i \leq h$ there is an edge of G between X_i and Y_1 , and an edge of G between X_i and Y_2 , and
- every vertex of each of $X_1, \dots, X_h, Y_1, Y_2$ either belongs to $N \cup \{r\}$ or is adjacent to a .

Proof. If $|A \cup C| \geq (t+1)/2$, we may take $h = |A \cup C|$, and let X_1, \dots, X_h be the singleton subsets of $A \cup C$, and $Y_1 = \{r\}$ and $Y_2 = \{p\}$. Thus we may assume that $|A \cup C| \leq t/2$. By 7.8, $|A \cup C| \geq (t+1)/2 - e$, and so $e = 1$ (that is, x, p are adjacent) and $|A \cup C| \geq (t-1)/2$. Let $h = |A \cup C| + 1$, and for $3 \leq i \leq h$ let X_i be a singleton subset of $C \cup (A \setminus \{a\})$. It remains to select X_1, X_2, Y_1 and Y_2 , and we do this as follows. If a has two neighbours $w_1, w_2 \in B \cup D$, we may take $X_1 = \{w_1\}, X_2 = \{w_2\}, Y_1 = \{r\}$, and $Y_2 = \{p, a\}$. Thus we may assume that a has at most one neighbour in $B \cup D$. Now $|X(ar)| \geq (t+1)/2$ by 7.4, and since $|A \cup C| \leq t/2$, it follows that a has a unique neighbour in $B \cup D$, say u_1 . Choose a sequence u_1, \dots, u_k of distinct vertices, maximal with the following properties (where $u_0 = r$):

- $u_2, \dots, u_k \in W$,
- $u_1 \cdots u_k$ is a path, and a is adjacent to all of u_1, \dots, u_k
- p is nonadjacent to all of u_1, \dots, u_k , and
- for $1 \leq i \leq k-1$, $X(au_i) \subseteq \{u_{i-1}, u_{i+1}\} \cup A \cup C$.

Now $|X(au_k)| \geq (t+1)/2$ by 7.4. Since $|A \cup C| \leq t/2$, it follows that there is a vertex $u_{k+1} \notin A \cup C \cup \{u_{k-1}, u_k\}$ such that a, u_k, u_{k+1} are pairwise adjacent. Since u_k is nonadjacent to p , and a is nonadjacent to x and has no neighbour in $N' \cup \{r'\}$, it follows that $u_{k+1} \notin N' \cup \{r', x\}$. If $u_{k+1} = u_i$ for some $i \in \{0, \dots, k\}$, then $i \leq k-2$ (since $u_{k+1} \neq u_{k-1}, u_k$), and so $k \geq 2$ and therefore $u_k \notin N$, and so $i > 0$; and then $X(au_i) \subseteq \{u_{i-1}, u_{i+1}\} \cup A \cup C$, which is impossible since $u_k \in X(au_i)$. Thus $u_{k+1} \neq u_0, \dots, u_k$. Since $u_{k+1} \neq u_1$, and u_1 is the unique neighbour of a in $B \cup D$, it follows that $u_{k+1} \notin B \cup D$, and so $u_k \notin N$. From the maximality of the sequence u_1, \dots, u_k , we deduce that either p is adjacent to u_{k+1} , or $X(au_k) \not\subseteq \{u_{k-1}, u_{k+1}\} \cup A \cup C$. In the first case, we may take $X_1 = \{a\}, X_2 = \{u_1, \dots, u_k, u_{k+1}\}, Y_1 = \{r\}$, and $Y_2 = \{p\}$. In the second case, let $w \in X(au_k)$ with $w \notin \{u_{k-1}, u_{k+1}\} \cup A \cup C$; then we may take $X_1 = \{u_{k+1}\}, X_2 = \{w\}, Y_1 = \{r, u_1, \dots, u_k\}$ and $Y_2 = \{p, a\}$. This proves 7.9. \blacksquare

7.10 x is adjacent to both p, p' .

Proof. For suppose there is some choice of P, p, p' such that x is nonadjacent to one of p, p' ; and choose such P, p, p' with P of minimum length. Let x, p' be nonadjacent, say. By 7.8, x is adjacent to p , and $|A| + |C| = (t - 1)/2$, $|A'| + |C'| = (t + 1)/2$, $|B| + |C| = (t - 3)/2$, and $|B'| + |C'| = (t - 1)/2$. In particular, since $|A| + |C| > |B| + |C|$, it follows that $A \neq \emptyset$; choose $a \in A$. It follows that a has no neighbour in P different from p , since otherwise we could choose a new path P' between a and p' , and this is impossible by 7.8 since x is nonadjacent to both a, p' .

Suppose that $a \in A$ has no neighbour in N' . Since no vertex of P belongs to N or is adjacent to a except p , by 7.9 it follows that contracting rp, xr' and the edges of P (and the edges of the $h + 2$ subgraphs given by 7.9) yields an rr' -rooted $K_{2,t}$ minor, a contradiction.

Thus there exists $a' \in N'$ adjacent to a . Since a has no neighbour in P different from p , it follows that a, p' are nonadjacent, and in particular $a' \neq p'$. The path $a-a'$ satisfies our hypotheses for the choice of P , and so from the minimality of the length of P , we deduce that P has only one edge, and so p, p' are adjacent. From 7.8, x is adjacent to a' . Now $|A' \cup C'| = (t + 1)/2$ as we already saw, and so there are at least $(t - 1)/2$ vertices not in $\{x, r, r', p, p', a, a'\}$ and adjacent to both p', r' ; and similarly there are at least $(t - 1)/2$ such vertices adjacent to both a, r . But then contracting the edges $rp, pp', aa', a'r'$ gives an rr' -rooted $K_{2,t}$ minor, a contradiction. This proves 7.10. \blacksquare

7.11 P has length at least two.

Proof. Suppose not; then p, p' are adjacent. Suppose there is a 3-cut $(L, M, \{r, p, p'\})$, where $x, r' \in M$. Then there is a path between r and p' with interior in L , by 7.3, and x has no neighbour in the interior of this path; and hence there is a choice of P, p, p' that violates 7.10, a contradiction. Thus there is no such 3-cut. Let G' be the graph obtained from G by deleting all edges between p and $X(pr)$, deleting the vertex p' , and contracting pr . It follows that G' is 2-connected to rr' .

Now G' has no rr' -rooted $K_{2,t-1}$ minor, and so from the minimality of (G, t, r, r', n) , it follows that $|E(G')| \leq \delta(t - 1)(n - 3) - 1$. But $|E(G)| - |E(G')| = \deg(p') + |A| + |C| + 2$, and $|C| \leq |B| + |C| \leq (t - 1)/2$ by 7.8, and so

$$|E(G)| \leq \delta(t - 1)(n - 3) + \deg(p') + |A| + (t + 1)/2.$$

Since $|E(G)| > \delta(t)(n - 1) - 1$, we deduce that

$$\delta(t)(n - 1) - 1 < \delta(t - 1)(n - 3) + \deg(p') + |A| + (t + 1)/2,$$

and so

$$\deg(p') > 2\delta(t) + (\delta(t) - \delta(t - 1))(n - 3) - |A| - (t + 3)/2.$$

But since contracting the edges $rx, p'r'$ does not produce an rr' -rooted $K_{2,t}$ minor, it follows that x, p' have at most $t - 2$ common neighbours that are not in $V(P) \cup \{x, r, r'\}$, and therefore

at most t common neighbours in total. Since every vertex in A is nonadjacent to x (by definition) and to p' (by 7.10), it follows that $\deg(p') + \deg(x) \leq n - |A| + t$. But from 7.7, $\deg(x) > \delta(t) + (\delta(t) - \delta(t-1))(n-2)$; and so

$$2\delta(t) + (\delta(t) - \delta(t-1))(n-3) - |A| - (t+3)/2 + \delta(t) + (\delta(t) - \delta(t-1))(n-2) < n - |A| + t,$$

which simplifies to

$$(t-3)(t+2) + 8(n-t-3) < 0,$$

a contradiction. This proves 7.11. ■

7.12 A, A' are both nonempty.

Proof. Suppose that $A' = \emptyset$, say. By 7.8, $|A'| + |C'| \geq (t-1)/2$, and $|B'| + |C'| \leq (t-1)/2$; so t is odd, $|C'| = (t-1)/2$, and $B' = \emptyset$. If there exists $a \in A$, then (since a is anticomplete to $N' \cup (V(P) \setminus \{p\})$ by 7.10), 7.9 implies that contracting the edges $rp, r'x$ and all edges of P (and the edges of the subgraphs provided by 7.9) yields an rr' -rooted $K_{2,t}$ minor, a contradiction. Thus $A = \emptyset$, and so similarly $B = \emptyset$ and $|C| = (t-1)/2$.

If every member of C has a neighbour in $V(P \setminus p)$, then we may obtain an rr' -rooted $K_{2,t}$ minor by contracting $rx, r'p'$ and all edges of $P \setminus p$, a contradiction. Thus there exists $c \in C$ with no neighbour in $V(P \setminus p)$. Now $|X(rp)| = (t+1)/2$, and since r, p, x, c are pairwise adjacent, 7.4 implies that $|X(cx)| \geq (t+3)/2$. Hence there is a vertex $u_1 \notin C \cup \{p, r\}$ and adjacent to c, x . Since $u_1 \notin C$ and $B = \emptyset$, it follows that r, u_1 are nonadjacent, and so $u_1 \notin N$; and since N is anticomplete to N' by 7.11, it follows that $u_1 \in W$. We claim that $X(cx) \subseteq C \cup \{p, r, u_1\}$; for if not, there is a second vertex u'_1 that satisfies the defining condition for u_1 , and then contracting the edges $rx, r'p', pc$ and all edges of P gives an rr' -rooted $K_{2,t}$ minor, a contradiction. Let $u_0 = x$, and choose a maximal sequence u_1, \dots, u_k of distinct members of W with the following properties:

- $u_1 \cdots u_k$ is a path, and c is adjacent to all of u_1, \dots, u_k , and
- for $1 \leq i < k$, $X(cu_i) \subseteq C \cup \{u_{i-1}, u_{i+1}\}$.

Now by 7.4, $|X(cu_k)| \geq (t+1)/2$, and so there exists a vertex $u_{k+1} \neq u_{k-1}, u_k$ such that $u_k \notin C$. If $u_{k+1} \in V(P)$, then contracting $rx, r'p'$, all edges of P , and the edges of the path $u_2 \cdots u_{k+1}$ gives an rr' -rooted $K_{2,t}$ minor, a contradiction. If $u_{k+1} \in D$, then contracting $rp, r'x$, all edges of P , and the edges of the path $x-u_1 \cdots u_k$ gives an rr' -rooted $K_{2,t}$ minor. Moreover, $u_{k+1} \notin N'$, since c is anticomplete to N' ; and so $u_{k+1} \in W \cup \{x\}$. Suppose that $u_{k+1} = u_i$ for some $i \in \{0, \dots, k\}$; then $i \leq k-2$, and so $k \geq 2$, and $u_k \in X(cu_i)$. But $X(cu_0) \subseteq C \cup \{p, r, u_1\}$, so $i \neq 0$; hence $X(cu_i) \subseteq C \cup \{u_{i-1}, u_{i+1}\}$, a contradiction. Thus $u_{k+1} \in W$ and is different from u_0, \dots, u_k . From the maximality of the sequence u_1, \dots, u_k , it follows that $X(cu_k) \not\subseteq C \cup \{u_{k-1}, u_{k+1}\}$, and so there is a vertex w adjacent to c, u_k and not in $C \cup \{u_{k-1}, u_{k+1}\}$. Thus w satisfies the defining conditions for u_{k+1} , and so by the same argument $w \in W$ and is different from u_0, \dots, u_k . But then contracting $rx, r'p', pc$, all edges of P , and all edges of the path $x-u_1 \cdots u_k$ gives an rr' -rooted $K_{2,t}$ minor, a contradiction. This proves 7.12. ■

Now we complete the proof of the second main result.

Proof of 7.1 We may assume that P is an induced path. Let q be the neighbour of p in P . By 7.12, both A, A' are nonempty. Choose $a' \in A'$. Since a' is anticomplete to N by 7.10, 7.9 (with r, r' exchanged) yields that there is an integer $h \geq (t + 1)/2$ and disjoint subsets $X_1, X_2, \dots, X_h, Y_1, Y_2 \subseteq V(G) \setminus (N \cup \{r, x\})$, satisfying:

- each of $X_1, \dots, X_h, Y_1, Y_2$ induces a connected subgraph of G
- $r' \in Y_1, p' \in Y_2$
- for $1 \leq i \leq h$ there is an edge of G between X_i and Y_1 , and an edge of G between X_i and Y_2 , and
- every vertex of each of $X_1, \dots, X_h, Y_1, Y_2$ either belongs to $N' \cup \{r'\}$ or is adjacent to a' .

It follows that all these subsets are disjoint from $V(P)$ except that $p' \in Y_2$, by 7.10. Let F be the union of the edge sets of $X_1, X_2, \dots, X_h, Y_1, Y_2$. By contracting rp , all edges of P , and all edges of F , it follows that $(t + 3)/2 \leq t - 1$, and so $t \geq 5$. By contracting $rp, r'x$, all edges of P , and all edges of F , we deduce that $|B \cup C| \leq (t - 3)/2$, and so equality holds, by 7.8. Moreover, the same contraction shows that every vertex in $X(xp)$ belongs to C , except for r and possibly q ; and so $|C| = (t - 3)/2$ and $B = \emptyset$ and $|X(xp)| = (t + 1)/2$. Since $t \geq 4$, there exists $c \in C$. Now c, p, r, x are pairwise adjacent, and so 7.4 implies that $|X(rc)| \geq (t + 3)/2$. Since $|B \cup C| = (t - 3)/2$, there are at least two members of $X(rc)$ not in $B \cup C \cup \{x, p\}$, say w_1, w_2 ; thus $w_1, w_2 \in A \cup D$. In particular, $w_1, w_2 \notin V(P)$, and so contracting $rp, r'x, xc$, all edges of P , and all edges of F produces an rr' -rooted $K_{2,t}$ minor, a contradiction. Thus there is no minimum counterexample (G, t, r, r', n) . This completes the proof of 7.1. ■

8 Higher connectivity

If we add to 1.1 the hypothesis that G is k -connected, we should expect a change in the extremal function (depending on k), and in this section we study this. First, a result of G. Ding (private communication):

8.1 *For every $t \geq 0$, there exists $n(t) \geq 0$ such that every 5-connected graph with no $K_{2,t}$ minor has at most $n(t)$ vertices.*

If we replace 5-connected by 4-connected, this is no longer true. For instance, let n be even, $n = 2m$ say, and let G be the graph with n vertices $u_1, \dots, u_m, v_1, \dots, v_m$, in which for $1 \leq i \leq m$, u_i, v_i are adjacent, and $\{u_i, v_i\}$ is complete to $\{u_{i+1}, v_{i+1}\}$ (where u_{m+1}, v_{m+1} mean u_1, v_1) and with no other edges. Then G is 4-connected and has no $K_{2,5}$ minor. Note that in this graph, every vertex has degree 5, and so $|E(G)| = 5n/2$. This shows that the next result is also best possible in a sense. The next result was proved in joint work with Sergey Norin and Robin Thomas, and is more or less an analogue of 1.2.

8.2 For every $t \geq 0$, there exists $c(t) \geq 0$ such that every 3-connected n -vertex graph with no $K_{2,t}$ minor has at most $5n/2 + c(t)$ edges.

Proof. The proof is a fairly standard “bounded treewidth” argument, using the methods of [8], and so we just sketch it. Let G be a 3-connected graph with no $K_{2,t}$ minor. We prove by induction on $|V(G)|$ that $|E(G)| \leq 5n/2 + c(t)$, where $n = |V(G)|$.

A *tree-decomposition* of G is a pair $(T, (X_s : s \in V(T)))$, where T is a tree and each X_s is a subset of $V(G)$, satisfying:

- $\bigcup_{s \in V(T)} X_s = V(G)$, and for every edge uv of G there exists $s \in V(T)$ with $u, v \in X_s$
- for all $s_1, s_2, s_3 \in V(T)$, if s_2 belongs to the path of T between s_1, s_3 , then $X_{s_1} \cap X_{s_3} \subseteq X_{s_2}$.

Let us say that a tree-decomposition $(T, (X_s : s \in V(T)))$ is *proper* if

- for every leaf s of T (that is, a vertex with degree one in T) there is a vertex $v \in X_s$ such that $v \notin X_{s'}$ for all $s' \in V(T) \setminus \{s\}$, and
- $X_s \neq X_{s'}$ for every edge ss' of T .

We define the *order* of an edge ss' of T to be $|X_s \cap X_{s'}|$. Let us say $(T, (X_s : s \in V(T)))$ is *linked* if it is proper, and for every two vertices $s_1 \neq s_2 \in V(T)$, and every integer $k \geq 0$, either

- there are k vertex-disjoint paths in G between X_{s_1} and X_{s_2} , or
- there is an edge of the path of T between s_1, s_2 with order less than k .

Finally, we say a tree-decomposition $(T, (X_s : s \in V(T)))$ is a *path-decomposition* if T is a path.

Since $K_{2,t}$ is planar, it follows from the theorem of [10] that there is a number c_1 (depending on t , but independent of G) such that G admits a tree-decomposition $(T, (X_s : s \in V(T)))$ with $|X_s| \leq c_1$ for all $s \in V(T)$. From a theorem of Thomas [11] we may choose this tree-decomposition so that in addition it is linked. If some vertex s of T has degree more than $(t-1)c_1(c_1-1)/2$, then $G \setminus X_s$ has more than $(t-1)c_1(c_1-1)/2$ components, each attaching at at least two vertices of X_t (indeed, at at least three); so some t of them share the same two attachment vertices, and G has a $K_{2,t}$ minor, a contradiction. Thus the maximum degree in T is bounded.

On the other hand, by choosing the constant $c(t)$ in the theorem large enough, we can ensure that $|V(G)|$ is at least any desired function of t , and so $|V(T)|$ is large; and consequently standard tree-decomposition methods yield a linked path-decomposition of G , $(P, (Y_i : i \in V(P)))$ say, where P has vertices $0, 1, \dots, m$ in order, say, such that m is large (at least some large function of t) and all the sets $Y_i \cap Y_{i+1}$ have the same size k say, at most c_1 . (The sets Y_i may have unbounded cardinality.) The linkedness of this decomposition provides disjoint

paths P_1, \dots, P_k from Y_0 to Y_m . For $1 \leq i \leq m$ each P_j has a unique vertex in $Y_{i-1} \cap Y_i$. Let G_i be the subgraph $G|Y_i$.

Let I_1 be the set of all $i \in \{1, \dots, m-1\}$ such that some vertex of Y_i is not in $V(P_1 \cup \dots \cup P_k)$. For each $i \in I_1$, there is a component C of $G_i \setminus (P_1 \cup \dots \cup P_k)$, and at least one of P_1, \dots, P_k contains an attachment of C ; and by rerouting the portions of P_1, \dots, P_k within G_i (using the 3-connectivity of G) we can arrange that at least two of P_1, \dots, P_k contain attachments of some such C . By contracting the edges of (the rerouted) P_1, \dots, P_k , since G has no $K_{2,t}$ minor, we deduce that $|I_1|$ is at most some function of t .

Since P is at least some (much bigger) function of t , there is a large subpath of P containing no member of I_1 ; and so we may assume that $I_1 = \emptyset$, by replacing P by this subpath and adjusting the constants accordingly.

Let I_2 be the set of all $i \in \{1, \dots, m-1\}$ such that for some $j \in \{1, \dots, k\}$, at least two vertices of P_j belong to X_i and there are at least two values of $j' \neq j$ such that there is an edge of G_i between $V(P_j)$ and $V(P_{j'})$. For each $i \in I_2$, there are only k^3 possibilities for the value of j and the two values of j' , so there are at least $|I_2|/k^3$ values of $i \in I_2$ giving the same triple, say $j = 1$ and the j' values are 2, 3. By taking every second one of these, we arrange that the subpaths of P_1 in these various G_i are vertex-disjoint; and then by contracting the edges of P_2, P_3 , and using that G has no $K_{2,t}$ minor, we deduce that $|I_2| \leq 2k^3(t-1)$. Thus $|I_2|$ is bounded, and so by replacing P by a large subpath, we may assume that $I_2 = \emptyset$.

At least one of the paths $P_1 \cap G_1, \dots, P_k \cap G_1$ has an edge, since the path-decomposition is proper. Let e be an edge of $P_1 \cap G_1$ say. The graph formed by contracting e is 3-connected, and therefore e belongs to at least two triangles, since otherwise contracting e would give a smaller counterexample. Let $e = uv$, and let w_1, w_2 be uv -joins. Since one of u, v is not in X_0 and one is not in X_2 , it follows that $w_1, w_2 \in X_1$; since $1 \notin I_1$, w_1, w_2 both belong to one of P_2, \dots, P_k ; and since $1 \notin I_2$, w_1, w_2 both belong to the same one of P_2, \dots, P_k , say P_2 . From the minimality of the union of P_1, \dots, P_k , we deduce that w_1, w_2 are adjacent in P_2 . In particular, there are exactly two uv -joins, and similarly exactly two w_1w_2 -joins. But then contracting the edges uv and w_1w_2 gives a smaller counterexample. This proves 8.2. \blacksquare

We can apply 8.2 to the 2-connected case, and prove the following. (The idea of this proof is due to A. Kostochka, and he kindly gave us permission to include it here.) We recall that $\delta(s) = \frac{1}{2}(s+3-4/(s+2))$.

8.3 *Let $t \geq 0$ be odd, $t = 2s - 1$ say, and let $c(t)$ be as in 8.2. Then every 2-connected n -vertex graph with no $K_{2,t}$ minor has at most $\delta(s)n + c(t)$ edges.*

Proof. We proceed by induction on n . The result is easy for $t \leq 3$, so we may assume that $t \geq 5$, and $s \geq 3$. If G is 3-connected, the claim follows from 8.2, so we may assume that G admits a 2-cut $(A_1, A_2, \{r_1, r_2\})$ say. For $i = 1, 2$, let $|A_i| = n_i$, and let there be e_i edges with an end in A_i . For $i = 1, 2$, let G_i be the graph obtained from $G|(A_i \cup \{r_1, r_2\})$ by adding the edge r_1r_2 ; and choose s_i minimum such that G_i has no r_1r_2 -rooted K_{2,s_i} minor. Thus $2 \leq s_i \leq n_i + 1$. We assume for a contradiction that $e_1 + e_2 + 1 > \delta(s)(n_1 + n_2 + 2) + c(t)$.

(1) For $i = 1, 2, \dots$, $e_i \leq \delta(s_i)(n_i + 1) - 2$, and $e_i > \delta(s)n_i$.

The first claim follows from 7.1 applied to G_i . From the inductive hypothesis applied to the 2-connected graph G_i , we deduce that $e_i \leq \delta(s)(n_i + 2) + c(t) - 1$ for $i = 1, 2$, and since $e_1 + e_2 + 1 > \delta(s)(n_1 + n_2 + 2) + c(t)$, subtracting yields the second claim. This proves (1).

(2) One of $s_1, s_2 > s$, and $s_1 + s_2 \leq t + 1$.

If $s_1, s_2 \leq s$, then summing the first inequalities of (1) for $i = 1, 2$ yields

$$|E(G)| \leq e_1 + e_2 + 1 \leq \delta(s)(n_1 + n_2 + 2) - 3,$$

a contradiction; so one of $s_1, s_2 > s$, and this proves the first claim. Since for $i = 1, 2$, G_i has an $r_1 r_2$ -rooted $K_{2, s_i - 1}$ minor, and yet combining these does not give a $K_{2, t}$ minor of G , it follows that $(s_1 - 1) + (s_2 - 1) \leq t - 1$. This proves the second claim, and so proves (2).

In view of (2) we assume henceforth that $s_1 > s$, and therefore $s_2 < t + 1 - s = s$. Since $e_2 \leq (n_2 + 2)(n_2 + 1)/2 - 1$, and (1) implies that $e_2 > \delta(s)n_2$, it follows that

$$\delta(s)n_2 < (n_2 + 2)(n_2 + 1)/2 - 1,$$

that is, $s - 4/(s + 2) < n_2$, and so $n_2 \geq s$. The first and third inequalities of (1) yield $\delta(s)n_2 < \delta(s_2)(n_2 + 1) - 2$, that is,

$$\delta(s) > (\delta(s) - \delta(s_2))(n_2 + 1) + 2.$$

But $\delta(s) \leq (s + 3)/2$, and $\delta(s) - \delta(s_2) \geq (s - s_2)/2 \geq 1/2$, and $n_2 \geq s$, and we deduce that $(s + 3)/2 > (s + 1)/2 + 2$, a contradiction. This proves 8.3. ■

This result is best possible except for the constant $c(t)$, since there is a 2-connected n -vertex graph with no $K_{2, t}$ minor with $\delta(s)n - 3$ edges. (To see this, take two copies of the graph defined after the statement of 7.1, with t replaced by s , and identify the roots of the first with those of the second.) We have confined ourselves to the case when t is odd because the even case seems to be more difficult.

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