# BOUNDED $L^{2}$ CURVATURE CONJECTURE 

Sergiu Klainerman<br>Princeton University

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## ON STEIN'S CONTRIBUTION TO PDE

Based on a powerful divide and conquer strategy which enables the analyst to focus on the main difficulties of the problem at hand while ignoring a multitude of other less essential ones (or equally essential but somehow not interacting with the ones we choose to focus on)

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## PLAN OF LECTURE

- I. INTRODUCTION
- II. CARTAN FORMALISM. EINSTEIN EQUATIONS MEET YANG-MILLS
- III. YANG-MILLS EQUATIONS IN FLAT SPACE
- IV. BILINEAR ESTIMATES IN FLAT SPACE
- V. STRATEGY OF PROOF OF THE CONJECTURE.


## PLAN OF LECTURE

- I. INTRODUCTION
- II.
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## Einstein equation in Vacuum

Solutions: Riccci flat space-times $(\mathcal{M}, \mathbf{g})$.

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Classical WP Theorem: $g \in H_{\text {loc }}^{s}\left(\Sigma_{0}\right), k \in H_{l o c}^{s-1}\left(\Sigma_{0}\right), s>5 / 2$
$\Rightarrow$ unique space-time $(\mathcal{M}, \mathbf{g})$ and $\left(\Sigma_{0}, g, k\right) \hookrightarrow(\mathcal{M}, \mathbf{g})$ such that $(g, k)$ are the first and second fundamental forms of $\Sigma_{0}$ in $\mathcal{M}$.

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Wave coordinates: $\quad \square_{\mathbf{g}} x^{\alpha}=0 \Rightarrow$

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Model equation

$$
\mathbf{g}^{\alpha \beta}(\phi) \partial_{\alpha} \partial_{\beta} \phi=F(\phi, \partial \phi)
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## Quasilinear wave equations

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Iteration scheme: $\phi^{(0)}, \phi^{(1)}, \ldots, \phi^{(k)}$,

$$
\mathbf{g}^{\alpha \beta}\left(\phi^{(k)}\right) \partial_{\alpha} \partial_{\beta} \phi^{(k+1)}=F\left(\phi^{(k)}, \partial \phi^{(k)}\right)
$$

## Improvements based on Strichartz estimates

Strichartz: For any $s>1$,

$$
\begin{equation*}
\int_{0}^{t}\|\phi(\tau)\|_{L^{\infty}} d \tau \lesssim\|\partial \phi(0)\|_{H^{s-1}}+\int_{0}^{t}\|\square \phi(\tau)\|_{H^{s-1}} \tag{1}
\end{equation*}
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Semilinear equations: $\quad \square \phi=F(\phi, \partial \phi)$.
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Fact: Result is sharp for general equations (Lindblad)

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## Bounded $L^{2}$ curvature conjecture

Conjecture: EVE is well posed for $s=2$, i.e. initial data sets with

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\begin{equation*}
\operatorname{Ric}(g) \in L_{l o c}^{2}\left(\Sigma_{0}\right), \quad \nabla k \in L_{l o c}^{2}\left(\Sigma_{0}\right) \tag{2}
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Fact: Need to use the special structure of the Einstein equations ! Remark: Conjecture should be viewed as a break-down criterion. Space-time, together with a well chosen time foliation, can be extended as long as (2) hods true.
Theorem[K-Rodnianski, Wang] An EVE space-time, foliated by the level surfaces $\Sigma_{t}$ of a maximal time function with future unit time normal $T$, can be extended as long as

$$
\int_{0}^{t}\left\|\mathcal{L}_{T} \mathbf{g}\right\|_{L^{\infty}\left(\Sigma_{\tau}\right)} d \tau<\infty
$$

## Main Difficulties

- Problem of coordinates Are there coordinates, or gauge conditions, relative to which EVE exhibit some appropriate version of the null condition ?
- Approximate solutions Do there exist effective parametrices, for solutions of $\square_{\mathbf{g}} \phi=0$ with rough metrics $\mathbf{g}$, based on which we can prove bilinear and Strichartz estimates ?


## PLAN OF LECTURE

- I.
- II. CARTAN FORMALISM. EINSTEIN EQUATIONS MEET YANG-MILLS
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## Cartan Formalism

Orthonormal frames: vectorfields $e_{\alpha}, \alpha=0,1,2,3$,

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\mathbf{g}\left(e_{\alpha}, e_{\beta}\right)=\mathbf{m}_{\alpha \beta}=\operatorname{diag}(-1,1,1,1)
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\left(\mathbf{A}_{\mu}\right)_{\alpha \beta}=\mathbf{g}\left(\mathbf{D}_{\mu} e_{\beta}, e_{\alpha}\right)
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Curvature:

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\mathbf{R}_{\mu \nu \alpha \beta}=\left(\mathbf{D}_{\mu} \mathbf{A}_{\nu}-\mathbf{D}_{\nu} \mathbf{A}_{\mu}-\left[\mathbf{A}_{\mu}, \mathbf{A}_{\nu}\right]\right)_{\alpha \beta}:=\left(\mathbf{F}_{\mu \nu}\right)_{\alpha \beta}
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Frame changes: $\widetilde{e}_{\alpha}=\mathbf{O}_{\alpha}^{\gamma} e_{\gamma}$

$$
\left(\widetilde{\mathbf{A}}_{\mu}\right)_{\alpha \beta}=\mathbf{O}_{\alpha}^{\gamma}\left(\mathbf{A}_{\mu}\right)_{\gamma \delta} \mathbf{O}_{\beta}^{\delta}+\partial_{\mu}\left(\mathbf{O}_{\alpha}^{\gamma}\right) \mathbf{O}_{\gamma \beta}
$$

## EVE as Yang Mills Gauge Theory

Connection 1-form: $\mathbf{A}_{\mu}=\left(A_{\mu}\right)_{\alpha \beta}$.
Curvature: $\mathbf{F}_{\mu \nu}=\mathbf{D}_{\mu} \mathbf{A}_{\nu}-\mathbf{D}_{\nu} \mathbf{A}_{\mu}-\left[\mathbf{A}_{\mu}, \mathbf{A}_{\nu}\right]$
Gauge transformations:

$$
\begin{aligned}
\tilde{\mathbf{A}}_{\mu} & =O \cdot \mathbf{A}_{\mu} \cdot O^{-1}+\partial_{\mu} O \cdot O^{-1} \\
\widetilde{\mathbf{F}}_{\mu \nu} & =O \cdot \mathbf{F}_{\mu \nu} \cdot O^{-1} .
\end{aligned}
$$

Yang-Mills: $\quad \mathbf{D}^{\mu} \mathbf{F}_{\mu \nu}+\left[\mathbf{A}^{\mu}, \mathbf{F}_{\mu \nu}\right]=0$.

$$
\begin{aligned}
\square_{\mathbf{g}} \mathbf{A}_{\nu}-\mathbf{D}_{\nu}\left(\mathbf{D}^{\mu} \mathbf{A}_{\mu}\right) & =\mathbf{D}^{\mu}\left(\left[\mathbf{A}_{\mu}, \mathbf{A}_{\nu}\right]\right)-\left[\mathbf{A}^{\mu}, \mathbf{F}_{\mu \nu}\right] \\
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\end{aligned}
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- III. YANG-MILLS EQUATIONS IN FLAT SPACE
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- Use gauge freedom to impose $\sum_{i=1}^{3} \nabla^{i} A_{i}=0$
- Equations become, with $\mathbf{A}=\left(A_{0}, A\right)$,

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\begin{aligned}
\Delta A_{0} & =\mathbf{A} \cdot \partial \mathbf{A}+\mathbf{A}^{3} \\
\square A_{i}+\partial_{i} \partial_{t} A_{0} & =A^{j} \partial_{j} A_{i}+A^{j} \partial_{i} A_{j}+A_{0} \partial \mathbf{A}+\mathbf{A} \partial\left(A_{0}\right)+\mathbf{A}^{3}
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- Apply $\mathcal{P}=(-\Delta)^{-1}$ curl (curl), the projection operator on the divergence free vectorfields,

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\square A_{i}=\mathcal{P}\left(A^{j} \partial_{j} A_{i}+A^{j} \partial_{i} A_{j}\right)+\text { l.o.t. }
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- Use bilinear estimates to control the most dangerous terms,

$$
\mathcal{P}\left(A^{j} \partial_{j} A_{i}+A^{j} \partial_{i} A_{j}\right)
$$

## Bilinear Estimates in $\mathbb{R}^{1+3}$

Assume: $A=\left(A_{1}, A_{2}, A_{3}\right)$, $\operatorname{div} A=0$ in $\mathcal{D}_{T}=[0, T] \times \mathbb{R}^{3}$.

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## Theorem

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\begin{aligned}
\left\|A^{i} \partial_{i} \phi\right\|_{L^{2}\left(\mathcal{D}_{T}\right)} & \lesssim\left(\|\partial A(0)\|_{L^{2}}+\int_{0}^{T}\|\square A(t)\|_{L^{2}\left(\Sigma_{t}\right)} d t\right) \\
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Reduction: Can assume $\square A=\square \phi=0$.

## PLAN OF LECTURE

- 1. 
- II.
- III.
- IV PROOF OF BILINEAR ESTIMATES IN FLAT SPACE - V.


## Proof of bilinear estimates in flat space

Generalized bilinear estimates: Estimate $\|\mathcal{C}(U, \partial \phi)\|_{L^{2}(\mathcal{M})}$ of contractions between tensorfields $U$ and solutions of

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Suffices to consider $\mathcal{C}\left(U, \partial \phi_{f}\right)$ with,

$$
\phi_{f}(t, x)=\int_{\mathbb{S}^{2}} \int_{0}^{\infty} e^{i \lambda u(t, x, \omega)} \widehat{f}(\lambda \omega) \lambda^{2} d \lambda d \omega
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with $u=t \pm x \cdot \omega$, i.e.

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$$

We have,

$$
\square \phi_{f}=\int_{\mathbb{S}^{2}} \int_{0}^{\infty}(\square u) \ldots=0 .
$$

## Proof in $\mathbb{R}^{1+3}$

$$
\begin{aligned}
\mathcal{C}\left(U, \partial \phi_{f}\right) & =\int_{\mathbb{S}^{2}} \mathcal{C}\left(U, \partial^{(\omega)} u\right) J\left({ }^{(\omega)} u\right) d \omega \\
J\left({ }^{(\omega)} u\right) & =\int_{0}^{\infty} e^{i \lambda(\omega)} u \lambda^{3} \widehat{f}(\lambda \omega) d \lambda
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## Proof in $\mathbb{R}^{1+3}$

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Now,
$\left\|\mathcal{C}\left(U, \partial \phi_{f}\right)\right\|_{L^{2}\left(\mathcal{D}_{T}\right)} \lesssim \int_{\mathbb{S}^{2}}\left\|\mathcal{C}\left(U, \partial{ }^{(\omega)} u\right) J\left({ }^{(\omega)} u\right)\right\|_{L^{2}\left(\mathcal{D}_{T}\right)} d \omega$

$$
\begin{aligned}
& \lesssim \sup _{\omega \in \mathbb{S}^{2}}\left\|\mathcal{C}\left(U, \partial^{(\omega)} u\right)\right\|_{L^{2}\left(\mathcal{H}\left({ }^{(\omega)} u\right)\right)}\|J(u)\|_{L_{u}^{2}} \\
& \lesssim \sup _{\omega \in \mathbb{S}^{2}}\left\|\mathcal{C}\left(U, \partial^{(\omega)} u\right)\right\|_{L^{2}\left(\mathcal{H}\left({ }^{(\omega)} u\right)\right)}\left\|\nabla^{2} f\right\|_{L^{2}\left(\mathbb{R}^{3}\right)}
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## Proof in $\mathbb{R}^{1+3}$

Observe that ${ }^{(\omega)} /:=\partial^{(\omega)} u$ is the null geodesic generator of the null hyperplanes ${ }^{(\omega)} u=u_{0}$, denoted $\mathcal{H}\left({ }^{(\omega)} u\right)$.

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\mathbf{m}\left({ }^{(\omega)} I,{ }^{(\omega)} l\right)=0, \quad \mathbf{D}_{(\omega)}{ }^{(\omega)} I=0 .
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## Lemma

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## Main Point

In interesting situations the quantity $\left\|\mathcal{C}\left(U,{ }^{(\omega)} /\right)\right\|_{L^{2}\left(\mathcal{H}\left({ }^{(\omega)} u\right)\right)}$ is the flux through the null hypersurface $\mathcal{H}\left({ }^{(\omega)} u\right)$ of the tensor-field $U$.

## Applications

Theorem (First bilinear estimate)

$$
\|A \cdot \nabla \phi\|_{L^{2}\left(\mathcal{D}_{T}\right)} \lesssim\|\partial A(0)\|_{L^{2}\left(\mathbb{R}^{2}\right)}\|\partial \phi(0)\|_{L^{2}\left(\mathbb{R}^{3}\right)}
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- Suffices to prove, for $\square \phi=\square \psi=0, \quad \phi=\phi_{f}$,

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- $\mathcal{C}\left(U=\partial \psi,{ }^{(\omega)} \ell\right)=\partial_{i} \psi^{(\omega)} \iota_{j}-\partial_{j} \psi^{(\omega)} I_{i}$
- $\left\|\mathcal{C}\left(\partial \psi,{ }^{(\omega)} /\right)\right\|_{L^{2}\left(\mathcal{H}\left({ }^{(\omega)} u\right)\right)}$ is bounded by the flux of $\psi$. Hence

$$
\left\|\mathcal{C}\left(\partial \psi,{ }^{(\omega)} l\right)\right\|_{L^{2}\left(\mathcal{H}\left({ }^{(\omega)} u\right)\right)} \leq\|\partial \psi(0)\|_{L^{2}\left(\mathbb{R}^{2}\right)}
$$

## PLAN OF LECTURE

- I.
- II.
- III.
- IV
- V. STRATEGY OF PROOF OF THE CONJECTURE.


## Strategy

(1) Exhibit the hidden null structure of the Einstein equations.

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(3) Use the parametrix to establish the needed bilinear estimates.


## Hidden null structure of EVE.

Connection 1-form: $\mathbf{A}_{\mu}=\left(A_{\mu}\right)_{\alpha \beta}=\mathbf{g}\left(\mathbf{D}_{\mu} e_{\beta}, e_{\alpha}\right)$.
Curvature: $\mathbf{F}_{\mu \nu}=\mathbf{D}_{\mu} \mathbf{A}_{\nu}-\mathbf{D}_{\nu} \mathbf{A}_{\mu}-\left[\mathbf{A}_{\mu}, \mathbf{A}_{\nu}\right]$
Gauge transformations: $\quad \widetilde{e}_{\alpha}=\mathbf{O}_{\alpha}^{\gamma} e_{\gamma}$.

$$
\begin{aligned}
\tilde{\mathbf{A}}_{\mu} & =O \cdot \mathbf{A}_{\mu} \cdot O^{-1}+\partial_{\mu} O \cdot O^{-1} \\
\widetilde{\mathbf{F}}_{\mu \nu} & =O \cdot \mathbf{F}_{\mu \nu} \cdot O^{-1} .
\end{aligned}
$$

Yang-Mills: $\quad \mathbf{D}^{\mu} \mathbf{F}_{\mu \nu}+\left[\mathbf{A}^{\mu}, \mathbf{F}_{\mu \nu}\right]=0$.

$$
\square_{\mathbf{g}} \mathbf{A}_{\nu}-\mathbf{D}_{\nu}\left(\mathbf{D}^{\mu} \mathbf{A}_{\mu}\right)=\mathbf{D}^{\mu}\left(\left[\mathbf{A}_{\mu}, \mathbf{A}_{\nu}\right]\right)-\left[\mathbf{A}_{\mu}, \mathbf{F}_{\mu \nu}\right]
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Coulomb type gauge: Choose $e_{0}$ unit normal to a maximal foliation and $e_{1}, e_{2}, e_{3}$ such that,

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Equations become, with $\mathbf{A}=\left(A_{0}, A\right)$,

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\begin{aligned}
\Delta A_{0} & =\mathbf{A} \cdot \partial \mathbf{A}+\mathbf{A}^{3} \\
\square_{g} A_{i}+\partial_{i} \partial_{t} A_{0} & =A^{j} \partial_{j} A_{i}+A^{j} \partial_{i} A_{j}+A_{0} \partial \mathbf{A}+\mathbf{A} \partial\left(A_{0}\right)+\mathbf{A}^{3} .
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Projection: $\mathcal{P} A=(-\Delta)^{-1} \operatorname{curl}(\operatorname{curl} A)$
Fact: Commutation with $\mathcal{P}$ produces only null forms !

## Parametrix for $\square_{\mathrm{g}} \phi=0$

Define

$$
\begin{gathered}
\phi_{f}(t, x)=\int_{\mathbb{S}^{2}} \int_{0}^{\infty} e^{i \lambda u(t, x, \omega)} f(\lambda \omega) \lambda^{2} d \lambda d \omega \\
\mathbf{g}^{\alpha \beta} \partial_{\alpha} u \partial_{\beta} u=0 \\
u(0, x, \omega) \sim x \cdot \omega \text { when }|x| \rightarrow+\infty \text { on } \Sigma_{0}
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We have,

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## Theorem

The operator $\psi_{f}$ makes sense and,

$$
\begin{aligned}
\left\|\square \psi_{f}\right\|_{L^{2}(\mathcal{M})} & \lesssim\|\lambda f\|_{L^{2}\left(\mathbb{R}^{3}\right)} \\
\left\|\partial \square \phi_{f}\right\|_{L^{2}(\mathcal{M})} & \lesssim\left\|\lambda^{2} f\right\|_{L^{2}\left(\mathbb{R}^{3}\right)}
\end{aligned}
$$

## Open questions

- Prove a stronger version of the global stability of Minkowski space
- Can on beat the exponent $\mathrm{s}=2$ ?
- Find a better, scale invariant, continuation criterion result

